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(54)	IMPLANTABLE DEVICE FOR MONITORING
	CHANGES IN ANALYTE CONCENTRATION

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# Related U.S. Application Data

- (60) Division of application No. 09/083,520, filed as application No. PCT/US96/18724 on Nov. 21, 1996, now Pat. No. 6,212,416, which is a continuation-in-part of application No. 08/561,972, filed on Nov. 22, 1995, now Pat. No. 5,711,861.

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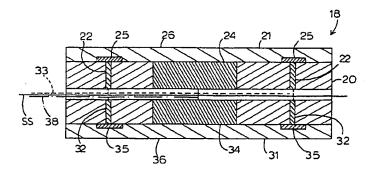
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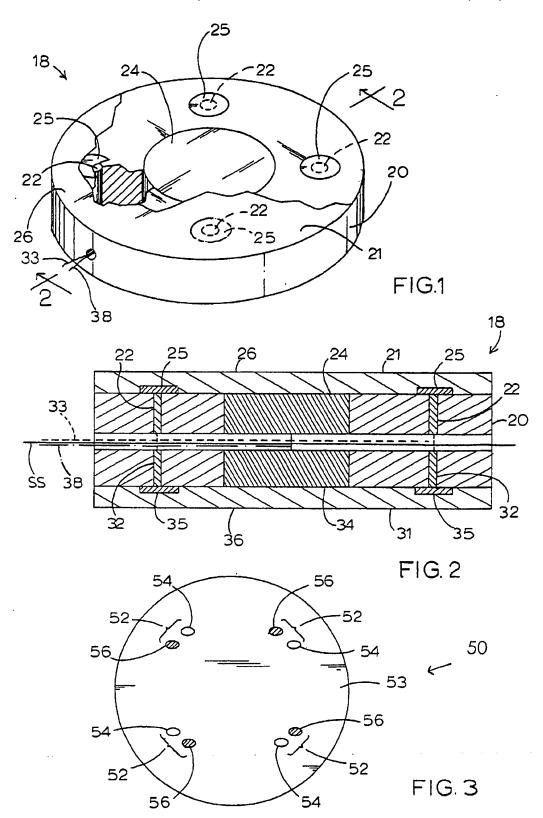
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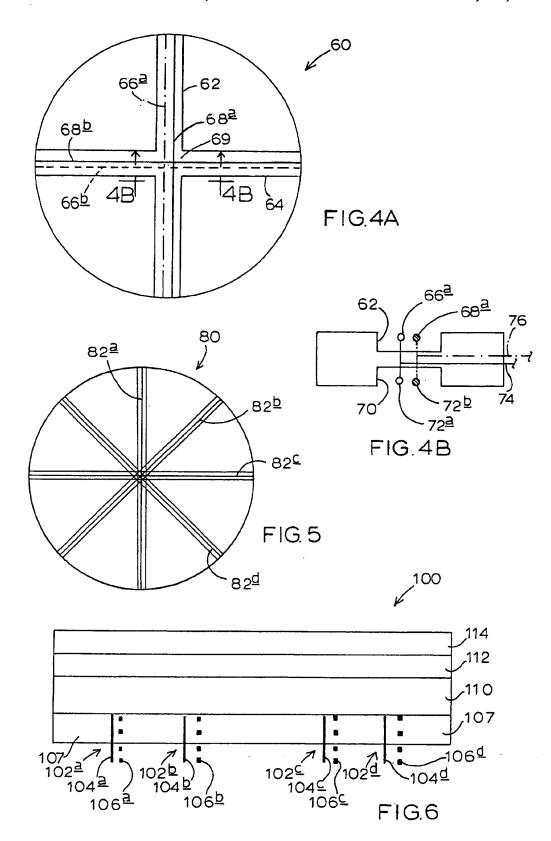
#### (57) ABSTRACT

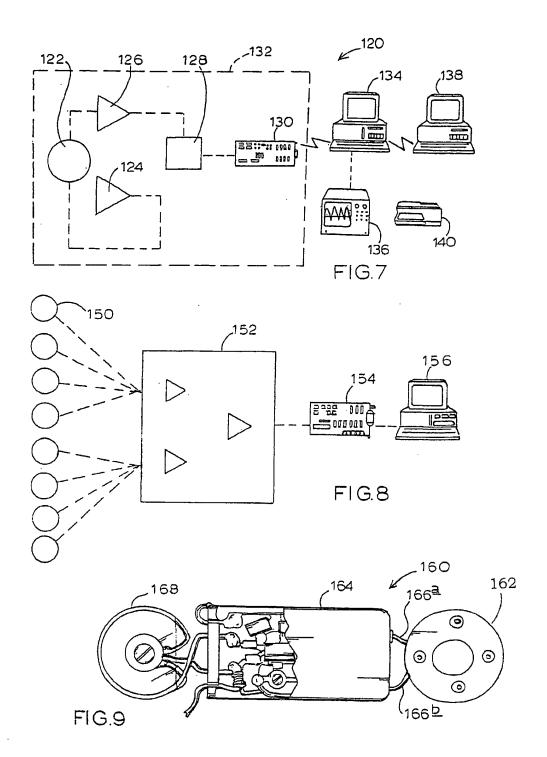
The invention provides an electrochemical sensor system for measuring analyte concentrations in a fluid sample. The invention is particularly useful for measuring analytes such as glucose in a patient. An implantable glucose sensor includes a disc-shaped sensor body containing multiple anodes on opposing sides of the sensor body. Electrodes including an anode and a cathode are connected to a transmitter which transmits radio signals to an external receiver and computer where data is processed to yield glucose concentration figures. An enzyme layer coating the anodes specifically reacts with glucose to increase signals generated by the anodes in response to the presence of glucose. In an alternate embodiment, some of the anodes are coated with the enzyme to generate a first signal, and other anodes that are not coated generate a second signal for comparison with the first signal to eliminate effects of interfering substances on the accuracy of the glucose measurement.

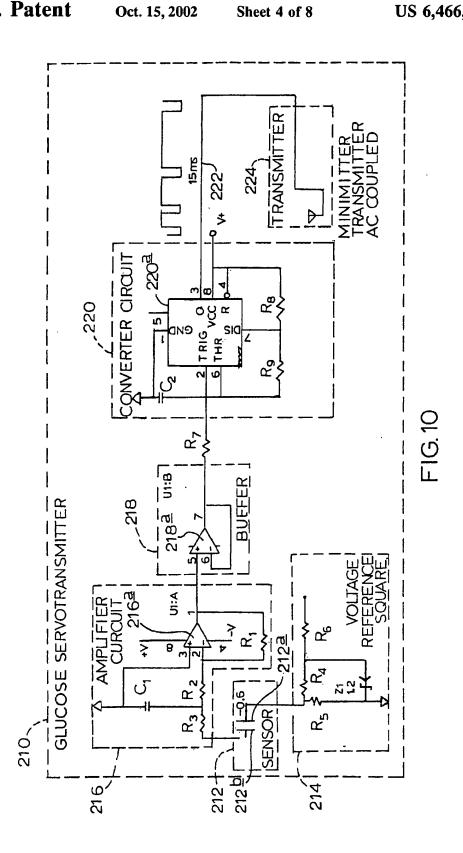
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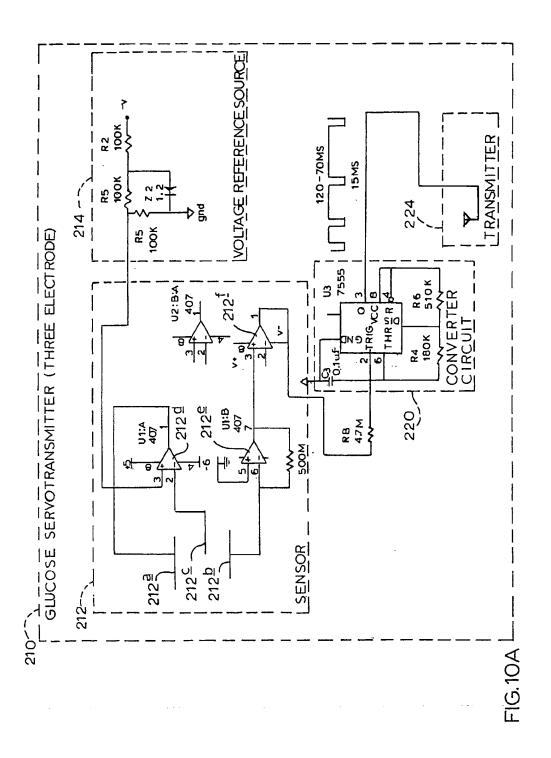


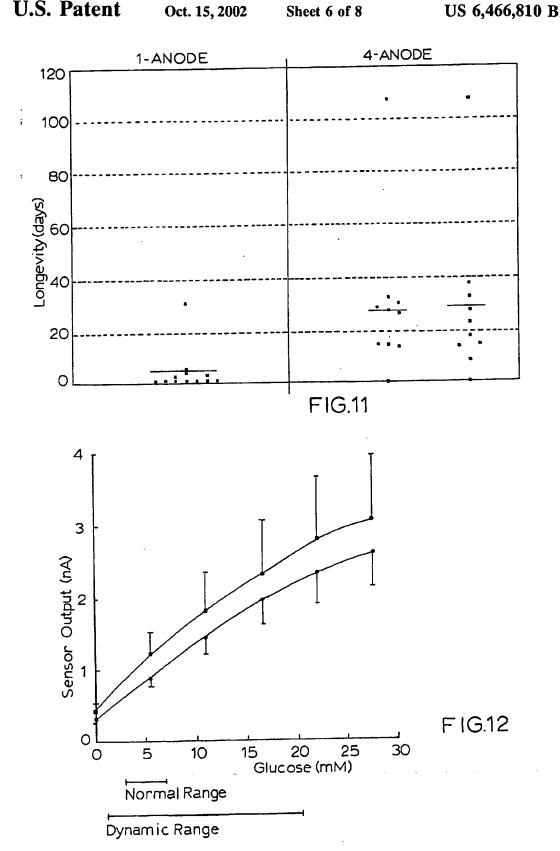


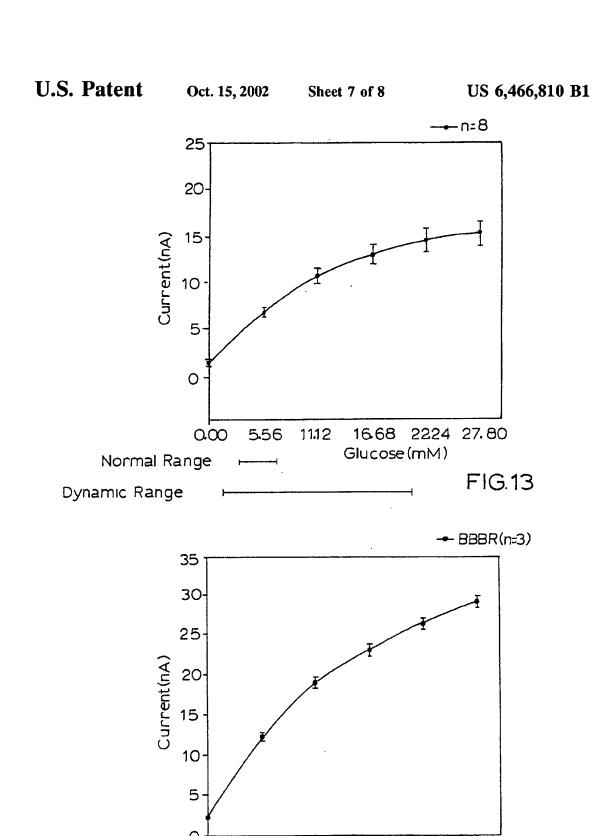




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Olucose (mM)

Normal Range FIG. 14

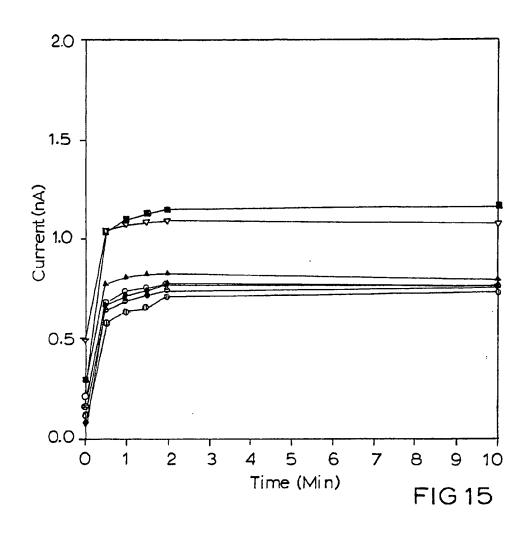
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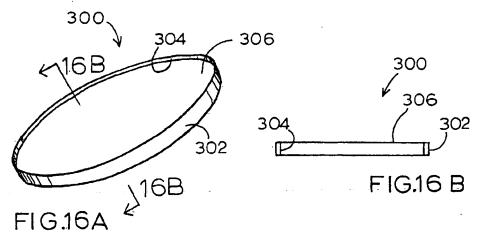
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# IMPLANTABLE DEVICE FOR MONITORING CHANGES IN ANALYTE CONCENTRATION

# RELATED APPLICATIONS

This is a divisional application claiming priority from U.S. application Ser. No. 09/083,520, filed May 22, 1998, which is a continuation-in-part national phase of International Application No. PCT/US96/18724, filed Nov. 21, 1996, which is a continuation-in-part of application Ser. No. 08/561,972, filed Nov. 22, 1995, now U.S. Pat. No. 5,711,

#### FIELD OF THE INVENTION

The invention relates to electrochemical systems for mea- 15 suring analyte concentration. In particular, the invention involves a sensor including electrodes under a semipermeable membrane for monitoring analyte concentrations in fluids surrounding the sensor.

# BACKGROUND OF THE INVENTION

There are many instances when it is necessary to monitor the concentration of molecules ("analytes") in a fluid. For example, glucose levels must be frequently monitored in persons with diabetes so that appropriate doses of insulin 25 can be administered in a timely manner. Many other analytes are measured commonly in human blood and in other fluids.

A variety of methods and devices for measuring analytes in fluids have been devised. One such device, referred to as 30 an electrochemical sensor, typically includes oppositely charged electrodes under a semi-permeable membrane. Depending on what analyte is being monitored, membranes, enzymes and/or other appropriate materials are provided around the electrodes so that analyte reaction and transport from the fluid surrounding the sensor is controlled. Oxidative and reductive reactions take place at or near the electrodes, thus causing electrical potentials measured as changes in current which may be correlated to the concentration of analyte in the fluid.

Electrochemical sensors have been used to measure glucose in human blood. Most of these sensors are designed to measure glucose in a blood sample which has been drawn or extracted from the patient. For patients such as people with diabetes who must test blood glucose levels as often as 45 several times per day, the regular blood drawing process (typically by finger tip puncture) becomes quite cumbersome, messy and even painful. The person with diabetes must carry special equipment for extracting blood. Some patients fail to test as frequently as they should 50 because of problems associated with the blood extracting

Therefore, it has been recognized for a long time that an implanted glucose sensor would offer the important advantage of avoiding the need for repeated blood extraction. 55 However, there are other problems which must be addressed with an implantable sensor. First, there must be a mechanism for accessing raw electrical data generated by the sensor under the patient's skin. Protruding wires are undesirable because they are cumbersome, prone to causing infection 60 and sometimes painful. Accordingly, it is preferable to include a wireless data transmission (telemetry) device coupled to the sensor in a single implantable unit so that no trans-dermal wires are required.

trauma, i.e., bruising or bleeding from the patient's routine movement or contact with his or her environment, especially if the sensing unit is large or thick or if it is geometrically shaped with any sharp points or edges.

Another problem associated with implantable sensors is that over time (days and weeks) a cellular coat tends to develop around the sensor which may eventually block the analyte of interest from contacting the electrodes, thus causing the sensor to fail.

For these reasons, and perhaps other reasons, researchers in the field have been unsuccessful in their attempts to produce an implantable sensor unit which is capable of functioning satisfactorily for a sufficient period of time to justify the expense and inconvenience of producing and surgically implanting the sensing hardware.

A viable implantable glucose sensor should provide reliable performance for at least 1-2 months, preferably three months or more. During its useful life, the device should generate a predictable dose response over a concentration range of approximately 40 to 400 milligrams per deciliter (mg/dl). The device should exhibit a lag time between a concentration change and the resulting signal output of less than 20 minutes, preferably less than 10 minutes. The sensor should be relatively insensitive to potential interfering substances such as ascorbic acid and acetaminophen. The device should be relatively accurate for at least several days after calibration (stability). Glucose measurement with the sensor should be precise to at least within approximately 10 mg/dl. The sensor should be incorporated in an implantable unit which is capable of wireless data transmission, and which is dimensioned so as to minimize surgical complication and risk of pain, bruising or other internal trauma.

# SUMMARY OF THE INVENTION

The objectives stated above are achievable with the device and system of the present invention which includes a device for electrochemically sensing changes in the concentration of an analyte of interest.

In one embodiment of the invention, the device includes a sensor body having two opposing sides. Each side of the body includes at least one, preferably several, anode(s) and at least one cathode spaced apart from each other and covered by a membrane which is semi-permeable to the analyte of interest. In a preferred sensor design for measuring glucose, plural anodes are disposed on two opposing sides of a disc-shaped sensor body. The anodes are covered by an enzyme layer including glucose oxidase and an outer semi-porous membrane layer made of material such a Parylene™ ("PPX") or Chronoflex™ AR ("CAR").

In another embodiment of the invention, the sensor body contains a plurality of electrode pairs, each pair including an anode and a cathode. The electrode may take the form of points or lines. In one design, linear electrodes are arranged in a "spoke-like" configuration. The electrode pairs preferably are disposed on both sides of the body.

An implantable glucose sensor, according to the present invention, may be electrically coupled to a transmitter which includes a power source, for example a battery. The transmitter is capable of converting data signals from the sensor into corresponding radio signals. A receiver is provided remotely from the sensor for receiving the radio signals. A processor is connected to the receiver and used to interpret the radio signals, to yield analyte concentration figures.

The present invention also provides a method of making an analyte sensor. A substantially disc-shaped body is pro-Second, an implanted sensing unit may cause internal 65 vided with two opposing sides. At least one cathode and plural anodes are created on each side of the body. A semi-permeable membrane is deposited on the electrodes.

When the method is employed to make a glucose sensor, the enzyme layer including glucose oxidase is created between the anodes and the semi-permeable membrane. An interferent retarding layer may be created between the anodes and the enzyme layer.

# DESCRIPTION OF THE FIGURES

- FIG. 1 is a partially cut-away perspective view of an analyte sensor in accordance with a preferred embodiment of the present invention.
- FIG. 2 is a cross-sectional view of the sensor shown in FIG. 1.
- FIG. 3 is a top view of an analyte sensor in accordance with a second embodiment of the present invention.
- FIG. 4A is a top view of an analyte sensor employing linear electrodes in accordance with a third embodiment of the present invention.
- FIG. 4B is a partial cross-sectional view of the sensor shown in FIG. 4A.
- FIG. 5 is a top view of another analyte sensor in accordance with a fourth embodiment of the present invention.
- FIG. 6 is a schematic side view of a glucose sensor including an interferent retarding layer.
- FIG. 7 is a schematic flow chart of an analyte monitoring system including sensor, electronics, telemetry and computing components.
- FIG. 8 is a flow chart of an analyte monitoring system including multiple sensors linked in parallel to the same data 30 acquisition and processing components.
- FIG. 9 is a top view of an implantable unit including a glucose sensor and radio telemetry device.
- FIGS. 10 and 10A are circuit diagrams illustrating circuitry employed in glucose sensors of the present invention.
- FIG. 11 is a graph demonstrating the results of an experiment conducted to compare longevity of single and multiple anode sensors.
- FIG. 12 is a graph illustrating the results of an experiment 40 conducted to compare sensor performance pre-implant versus post-explant.
- FIG. 13 is a graph showing the average glucose dose response and repeatability of eight sensors each of which was coated with PPX.
- FIG. 14 is a graph showing the average glucose dose response and repeatability (n=3) for a sensor coated with CAR.
- FIG. 15 is a graph presenting the results of an experiment conducted to determine the relative response times (T90s) for eight sensors each of which was coated with PPX.
- FIG. 16A is a perspective view of a disc-shaped implantable sensor with a circumferential polymer matrix for carrying and slowly releasing a fibrotic capsule interference 55 cal drift and instability. The redundancy of the present inhibitor.
- FIG. 16B is a cross-sectional view of the sensor shown in FIG. 16A.

### **DEFINITIONS**

An electrode means an electric conductor, which may be an anode or a cathode.

An anode is a positively charged conductor.

A cathode is a negatively charged conductor.

A sensor is a device which detects changes in analyte concentration in a fluid surrounding the sensor. A sensor includes an anode and a cathode, chemically modified and physically arranged to produce electric signal changes which can be interpreted by sensing electronics to measure analyte concentration changes over a specified concentration range.

An analyte is a molecule of interest in a fluid surrounding

An electrometer is a device which senses small changes in current and translates amps to volts.

A transmitter or radio telemetry device is a device which transmits radio signals.

A receiver is a device capable of receiving radio signals from a transmitter.

A body or sensory body is a housing for supporting and 15 containing sensor components.

A semi-permeable membrane or analyte selective coating is a material which permits controlled transfer of an analyte through the material.

Interfering substances are molecules in the fluid surround-20 ing the sensor, which are potentially detectable by the sensor possibly causing an inaccurate or erroneous analyte concentration determination.

An interferent retarding layer is a material employed in a sensor to either physically or chemically neutralize a potential interfering substance, thereby preventing the substance from interfering with the desired analyte concentration determination.

Chronoflex™ AR ("CAR") is a trade name for a carbonate based polyurethane available from Cardiotech, Inc., Woburn, Mass.

Parylene™ ("PPX") is a trade name for polyparaxylxylene available from Union Carbide.

## DESCRIPTION OF THE INVENTION

We have invented an analyte sensing system including an implantable sensor which exhibits significantly improved performance characteristics over a longer functional life in comparison to prior sensing systems. Our invention has also resulted in improvements which are useful in nonimplantable sensors and other sensing applications. The model for illustrating important principles of the present invention, as discussed in detail below, relates to implantable glucose sensors.

Prior implantable glucose sensors do not function satisfactorily over a long enough period to justify the cost and complication of implantation. We have observed that increasing the number of anodes, or electrode pairs, or total number of sensors connected in parallel, and by distributing 50 the anodes on different sensing faces of one or more sensors, greatly enhances the functional life span of an implantable glucose sensing system. Our experiments confirm that redundancy enhances sensor unit function. Other problems with prior electrochemical glucose sensors relate to electriinvention, i.e., multiple anodes or multiple sensors distributed on multiple faces of one device, appears to significantly reduce such drift. A possible reason for this is that each individual sensing unit may have its own fundamental 60 instability, and that by incorporating multiple sensing units into a single system, an averaging effect tends to cancel out random drift associated with individual sensors.

FIGS. 1 and 2 illustrate a disc-shaped glucose sensor which has two opposing faces, each of which has an 65 identical electrode configuration. One of the faces can be seen in the partially cut-away perspective view in FIG. 1. Sensor 18 includes a disc-shaped body 20. On planar face 21

of sensor 18, four platinum anodes 22 are symmetrically arranged around centrally disposed silver chloride cathode 24. Each anode 22 is covered by an enzyme layer 25 including the active enzyme glucose oxidase and stabilizing compounds such as glutaraldehyde and bovine serum albumin (BSA). A semi-permeable membrane layer 26 covers all of the electrodes and individual enzyme layers. The thickness and porosity of membrane layer 26 is carefully controlled so as to limit diffusion and/or transport of the analyte of interest (glucose) from the surrounding fluid into the anode sensing regions. The mechanism of selective transport of the analyte of interest through the membrane may involve one or more of the following principles: molecular size exclusion, simple mass transfer, surface tension phenomena and/or other chemically mediated processes.

A cross-section of sensor 18 is shown in FIG. 2. Sensor 18 has a plane of symmetry SS which is normal to the plane of the figure. Under face 31 of sensor 18 anodes 32 are spaced equidistantly apart from cathode 34. Enzyme layers 35 cover anodes 32. A semi-permeable membrane 36, preferably PPX 20 or CAR, covers the enzyme layers and electrodes. Each of anodes 22 and 32 are connected to a common anode wire 33 which leads out of the sensor for electrical connection to an electrometer. Similarly, each of cathodes 24 and 34 are connected to a common cathode lead 38 which leads out of 25 sensor 18 for electrical connection to the electrometer.

FIG. 3 shows an alternative embodiment of the invention in which a plurality of electrode pairs are presented on both sides of a disc-shaped sensor. Only one side of the sensor is shown in FIG. 3. The enzyme and semi-permeable mem- 30 brane layers are removed to permit viewing of the electrode configuration. Sensor 50 (an "8-in-1 sensor") includes eight electrode pairs 52, only four of which are shown distributed around surface 53 of sensor 50. Each electrode pair 52 includes an anode 54 spaced apart from a cathode 56. 35 Similar to the first embodiment described, all of anodes 54 are linked to a common anode wire (not shown) which extends outside the body of sensor 50. All of cathodes 56 are connected to a common cathode wire which extends outside sensor 50. The anode and cathode wires leading out of 40 sensor 50 are eventually connected to an electrometer.

FIGS. 4A. 4B and 5 illustrate a different type of anode and cathode configuration in which each electrode is exposed along a linear path on the sensor surface. In FIG. 4A, sensor 60 is formed with troughs 62 and 64 that intersect at right 45 angles in the center of the sensor surface. Within trough 62 linear anode 66a runs parallel to linear cathode 68a. Similarly, in trough 64 linear anode 66b runs parallel to linear cathode 68b. The electrodes are insulated from each other in the junction area 69 where the troughs intersect. 50 FIG. 4B shows a cross section through trough 62 in the junction area of the sensor. Trough 62 has a corresponding trough 70 in the opposite side of sensor 60. In trough 70, linear anode 72a runs parallel to linear cathode 72b. Anodes 66a and 72a are both connected to common anode wire 74. 55 Linear cathodes 68a and 72b are connected to common cathode wire 76. Anode wire 74 and cathode wire 76 lead out of sensor 60 for connection to an electrometer. The troughs are preferably filled with an electrolyte gel.

electrodes across opposing faces of the sensor can be extended to provide more electrode sensing area or "spokes". In FIG. 5 sensor 80 is essentially the same as sensor 60 (FIGS, 4A and 4B) except that it has two additional troughs, each containing another pair of parallel linear 65 retarding layer. electrodes. Sensor 80 includes trough 82a, 82b, 82c and 82d, all of which intersect in the center of sensor 80. Each of the

troughs 82a-d contains a pair of linear electrodes (anode and cathode) encased in electrolyte gel. All of the linear anodes in sensor 80 are connected to a common anode wire, and all of the linear cathodes are connected to a common cathode wire. Other anode patterns which function effectively include a circle, concentric circles or a spiral.

FIG. 6 shows schematically a cross section through multiple layers on one side of a sensor. Sensor 100 includes an electrode configuration similar to the embodiment illustrated in FIG. 3. Electrode pairs 102a-102d each include a cathode 104a-104d and an anode 106a-106d, respectively.

An electrolyte gel 107 surrounds the anode-cathode pairs, thus providing a faster and more sensitive response to changes in glucose concentration. The gel may be produced from methacrylate compounds or from collagen. For example, a methacrylate compound may be dissolved in an organic solvent and then deposited around the anodecathode pairs. The solvent is then evaporated. Phosphate buffered saline with KCl is then added to the gel to swell the methacrylate compound. In the 8-in-1 embodiment (FIG. 3), the electrolyte gel is placed over the surface of the electrode pairs and/or the gel is injected into the drilled cylinder in which the electrode pair is situated. Similarly, in the radial spoke-type embodiments shown in FIGS. 4 and 5, the troughs maybe filled with electrode gel.

Layer 110 is deposited immediately on top of the electrodes for the purpose of minimizing or avoiding interference due to the presence of interfering substances which may be present in the sample fluid. Enzyme layer 112 is deposited on top of interferent retarding layer 110. Enzyme layer 112 includes, in the case of a glucose sensor, glucose oxidase, and is applied in a solution of glutaraldehyde and bovine serum albumin (BSA), either by placement of a drop over each electrode pair, or by dip-coating the entire sensing unit, or by spin-coating. Semi-permeable membrane 114 is deposited on top of enzyme layer 112 for the purpose of controlling diffusion of glucose from the sample fluid into the electrode region of the sensor. PPX at a thickness of about 3,000-6,000 angstroms works well for this purpose. The preferred thickness of PPX layer 114 is 4,000-5,000A. Other suitable materials for semi-permeable membrane 114 include CAR and polyurethanes such as Tecoflex™, Techothane™, Carbothane™ and Cook™ composite.

A number of interferents which exist in human plasma can be oxidized at the anode when connected to sensor electronic circuitry, thus registering a current which interferes with the signal of interest, i.e., signal generated due to the presence of glucose. Potential interferents include, for example, uric acid, ascorbic acid and the common analgesic drug acetaminophen. Interferents tend to pass freely through semi-permeable membrane 114 and enzyme layer 112. The compounds can be blocked from reaching the electrodes by interfering retarding layer 110 which has a pore size big enough to allow diffusion of hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), a product of glucose oxidation in layer 112, yet small enough to exclude compounds such as uric acid, ascorbic acid and acetaminophen from reaching the electrodes.

A preferred-material for the interferent retarding layer 110 is PPX. PPX is a hydrophobic compound which is applied As shown in FIG. 5, the concept of employing linear 60 to the substrate in a vacuum deposition chamber. The deposition process can be carefully regulated to form an interferent retarding layer of precise thickness (5,000-8, 000A) prior to depositing the enzyme layer. CAR also appears to be a suitable material for use as an interferent

> Paired sensors can also be used to provide an alternate method of avoiding interfering currents from oxidizable,

non-glucose compounds. For example, a first, coated sensor is a standard sensor coated with glucose oxidase. The first sensor measures glucose and interfering compounds. A second, uncoated sensor is the same as the first sensor except it does not have glucose oxidase and thus detects only the interfering compounds. The magnitude of the current from the uncoated sensor is subtracted from the magnitude of the current from the coated sensor to yield a signal which represents the glucose concentration independent from interfering substance concentrations.

In practice this subtraction method can be implemented in ways other than the paired sensors described above. A second alternative embodiment of a device that employs the subtraction method is based upon the sensor arrangement of FIGS. 1 and 2. In this embodiment the four platinum anodes 15 22 on planar face 21 are covered with enzyme layer 25 and connected to a first common anode wire (not shown). Unlike sensor 18 shown in FIGS. 1 and 2, anodes 32 under face 31 of this second alternative embodiment are not covered by enzyme, and are connected to a second common anode wire 20 (not shown). Cathodes 24 and 34 are connected to common cathode wire 38 as shown in FIG. 2. The common cathode wire serves as a reference for the first and second common anode wires. The first common anode wire carries a first signal that can be compared with a second signal carried by 25 the second common anode wire to eliminate the effects of interferents and isolate a signal representing the concentration of the analyte of interest.

A third alternative embodiment of a device using the subtraction method is also based upon the sensor arrangement of FIGS. 1 and 2. In this third embodiment, two of the four anodes 22 on face 21 and two of anodes 32 on face 31 are covered with enzyme layer 25. The other two anodes on each face are not covered by enzyme. The anodes on faces 21 and 31 that are covered with enzyme are all connected to a first common anode wire. The anodes that are not covered with enzyme are all connected to a second common anode wire.

In a fourth alternative embodiment, the sensor device is based upon the sensor of FIG. 3. In this fourth embodiment, one half of the total number of electrode pairs 52 have anodes covered by an enzyme layer, with each such anode connected to a first common anode wire (not shown). The other half of the total number of electrode pairs have anodes that are not covered by enzyme, and each of these anodes are connected to a second common anode wire.

Skilled persons will realize that this subtraction method can be implemented in any embodiment of a glucose sensor having multiple anodes. In addition it is believed that the 50 method could be successfully implemented using a quantity of enzyme-coated anodes that is different from the quantity of uncoated anodes, by applying the appropriate signal amplification or data translation techniques. Skilled persons will understand that the sensor can also include a separate 55 wire for each cathode and each anode instead of the common anode and common cathode wires described above. Separate wires facilitate troubleshooting of the sensor by a repair person or technician. When a sensor is implanted with a radio transmitter unit, multiple wires allow the sensor's 60 transmitter to transmit multiple signals to a receiving computer or acquisition computer that can filter the signals to correct for malfunctioning electrode pairs or anodes. The telemetry feature of an implantable sensor is described below in further detail.

The sensor designs described above can also be modified so that the electrodes detect fluctuations in oxygen concentration which is relatable to glucose concentration. In this approach, the sensor monitors oxygen disappearance instead of hydrogen peroxide appearance. First, the polarity is changed so that the platinum electrodes (previously referred to as "anodes") become negatively charged with respect to the silver chloride (previously referred to as the "cathode"), i.e., the platinum becomes the cathode and the silver chloride becomes the anode. Second, a membrane is deposited immediately on top of the cathode and anode which is permeable to oxygen but not to larger molecules. The outer membrane and the enzyme layer remain the same. In this configuration, glucose concentration results in a decrease in oxygen concentration at the negatively charged electrode.

Another embodiment of the invention has a modified outer membrane. It is possible that functional longevity of implantable sensors is limited because the outer membrane tends to become "fouled," i.e., plugged or covered by molecules and/or other cellular materials. Accordingly, one adaptation of the invention employs a changing membrane so that the outer membrane can be renewed over time without disrupting operation of the sensor. In the modified sensor, the outer membrane is a solid sheet which can be moved across the face of the sensor where the electrodes are exposed. For example, the membrane can be transferred from one roller to another roller analogous to the way film is transferred inside a camera. A drive mechanism such as a small motor may be included in the implantable unit for driving the rollers.

FIG. 7 shows schematically how an implantable glucose sensor is connected in a glucose monitoring system 120. Electrodes in sensor 122 are polarized by polarizing circuit 124. Polarization of the sensor electrodes may be constant or pulsed. Our experiments have shown improvement in sensor performance stability, i.e., maintaining sensitivity and minimizing drift, when polarization is pulsed. For example, polarization of the sensing electrodes can be pulsed alternately on and off at intervals of 15 milliseconds. It may also be advantageous to alternate polarization, i.e., switch the charge of each electrode at regular intervals.

Sensor 122 is connected to electrometer 126, which senses small changes in current and translates amps to volts. Voltage signals from electrometer 126 are telemetry conditioned 128 and conveyed to transmitter 130 for radio transmission. All of the components within box 132 are implanted as a single unit in the patient.

Externally, radio signals from transmitter 130, indicative of glucose concentrations in the patient's blood, are transmitted to receiver 134. Receiver 134 may be connected to monitor 136 for data monitoring. The same receiver computer or another computer 138 may be used to analyze the raw data and generate glucose concentration information. A printer 140 connected to computer 138 generates hard copies of analyzed data.

The concept of including multiple electrode pairs within a single sensor can be extended to an embodiment where separate sensors are implanted and commonly linked to a single electrometer as shown in FIG. 8. For example, eight implantable sensors 150 can be implanted in a patient and linked to a single electrometer 152 and transmitter (not shown). Transmitted signals are received by data acquisition adaptor 154 and acquisition computer 156. By increasing the number of sensors the overall precision, accuracy and longevity of the system can be greatly enhanced. If one or more anodes (or sensors) fails, the others still provide sufficient data sensing capacity so that the entire unit continues to perform satisfactorily. Various algorithms or averaging protocols can be used to process the multiple data streams.

FIG. 9 shows schematically the components of an implantable unit in a glucose sensing system. Implantable unit 160 includes disc-shaped glucose sensor 162 which is connected to electrometer and telemetry conditioning package 164 via anode wire 166a and cathode wire 166b. Radio 5 signals derived from the raw current signals are transmitted from transmitter element 168.

#### Circuitry

FIG. 10 shows custom circuitry structure employed in a glucose sensing system of the present invention. Shown generally at 210 is a glucose servotransmitter suitable for implementation with the present invention. Servotransmitter 210 is configured for transmission of data which is indicative of a sensed enzymatic reaction to a remote receiving source for subsequent processing, the sensing and conveyance of such data being described in detail below.

As shown, servotransmitter 210 includes a sensor 212 (also referred to as a two-electrode sensor) operatively connected between a voltage reference source 214 and an amplifier circuit 216. The output of circuit 216 is buffered at 218 and subsequently provided to a voltage-to-frequency circuit 220, which in a first preferred embodiment includes a CMOS 7555 circuit indicated at 220 a configured with a resistive and capacitative network which includes two resistors (R9 and R8) and a capacitor (C2). Utilization of CMOS for designing circuit 220 has been found ideal due to its low power consumption aspects which results in longer battery life. The output terminal of circuit 220 is connected via line 222 to an AC-coupled transmitter 224 (also referred to herein as a minimitter) for transmission of data to an external receiving source.

Discussing the above servotransmitter in more detail, circuit 210 is configured for detecting electrons which are 35 generated during an enzymatic reaction, and conveying data which is representative of such detected electrons to an external source for subsequent processing. More specifically, sensor 212 includes two electrodes, a cathode 212a and an anode 212b. Cathode 212a is connected to a voltage reference source or circuit 214, and anode 212b is connected to amplifier circuit 216. Voltage reference circuit 214 is made up of three resistor R4, R5, and R6 and a 1.2-volt Zener diode Z1. Resistor R6 is connected at one end to a negative voltage potential, and at the other end to diode Z1 and resistor R4. The other end of resistor R4 is connected to resistor R5, which in turn is connected to diode Z1 as shown. The common node between resistors R4 and R5 is connected to cathode 212a.

Anode 212b is connected via resistors R3, R2, to the inverting terminal of amplifier 216a, and a capacitor C1 is connected between resistors R3, R2 and ground. The non-inverting terminal of amplifier 216a is tied to ground. A resistor R1 is connected between the output of amplifier 216a and its inverting terminal to provide negative feedback.

The output of amplifier 216a is connected to the non-inverting terminal of amplifier 218a, the output of which is connected to the inverting terminal in a voltage follower configuration for buffering the output of amplifier 216a. A 60 resistor R7 is connected between the output of amplifier 218a and the trigger terminal 2 of the CMOS 7555 circuit.

The CMOS 7555 is configured, with its attendant resistive and capacitative network, as a voltage-to-frequency converter whose output frequency is proportional to its input 65 control voltage. Referring more specifically to the 7555, it may be seen that reset terminal 4 is connected to terminal 8,

both of which are connected to a voltage potential which may be referred to as VCC. A resistor R8 is connected between reset terminal 4 and discharge terminal 7. A resistor R9 is connected between discharge terminal 7 and the threshold terminal 6. A capacitor C2 is connected between trigger terminal 2 and ground. Output terminal 3 is connected to the AC-coupled transmitter 224 for transmission of data to a remote location for processing.

Discussing the operation of the above-described glucose servotransmitter, it will be understood that voltage reference circuit 214 develops a potential of -0.6 volts which is used by sensor 212 to cause electrons produced in the vicinity of the sensor to flow, in the form of a generated current, with amplifier circuit 216, which includes operational amplifier 216a configured for feedback as described above. The output of amplifier 216a is a voltage which is buffered at 218 by operational amplifier 218a, the voltage output of which controls frequency for the trigger terminal of the CMOS 7555 through resister R7 and frequency selection circuitry C2, R8, and R9. The output terminal 3 of the CMOS 7555 is connected, via line 222, to transmitter 224 for transmission to an external source.

It will be appreciated that the above-described 7555 configuration converts the output of buffer 218 into a frequency which is determined by the voltage at threshold terminal 6. The 7555 serves two functions in the above configuration which are necessary for the transmission of sensed data to a remote location for processing. First, the 7555 provides a 15-msec pulse to key transmitter 224, thereby turning it on and off in accordance with practices which will be understood by those of skill in the art. Second, the 7555 is operable for voltage-to-frequency conversion, which is a measurement of sensor response. This dual function enables the aforementioned data transmission in a manner which will be understood by those of skill in the art.

Preferred component values (resistive and capacitative values) for the above-described servotransmitter 210 are as follows: (1) for voltage reference circuit 214: R4=1 meg ohm; R5=4.7 meg ohm; and, R6=470 kohm; (2) for amplifier circuit 216: R1=500 meg ohm; R2 and R3=499 kohm; and, C1=10 pf; (3) for converter circuit 220: R9=180 kohm; R8=1 meg ohm; and, C2=1 microfarad; and, (4) R7=4.7 meg ohm

The above system is referred to as a "two electrode" system because of the fact that two electrodes are utilized (the anode and the cathode) in the sensing of electrons produced during a particular enzymatic reaction. Another system which is suitable for sensing produced electrons and conveying data relative to such sensed electrons is a so-called "three-electrode" system which is shown in FIG. 10A and described briefly below.

In FIG. 10A, like or similar elements of the threeelectrode glucose servotransmitter 210 are labeled to correspond with the two-electrode elements appearing in FIG. 10. The Figure shows a sensor 212, a voltage reference source 214, a voltage-to-frequency converter circuit 220, and a transmitter 224. Voltage reference source 214, voltage-tofrequency converter circuit 220, and transmitter 224 will not be described because the operation of those elements is the same as, or similar to the operation of such elements as they appear in FIG. 10.

Sensor 212 in FIG. 10A varies somewhat from its FIG. 10 counterpart. Such variations take into account some observations regarding current and voltage control which have been made with respect to the two-electrode system described above, and improve somewhat, the control of such

parameters. The three-electrode sensor, set forth at 212, includes a counter electrode 212a (which may be formed from silver), a common return electrode 212b (also referred to as a working electrode and which may be formed from platinum), and a voltage probe 212c, which may also be termed the reference electrode (and which also may be formed from platinum). Two operational amplifiers 212d and 212e are provided and operatively coupled to the electrodes as shown, in a configuration which provides greater current and voltage control which, in turn, assists in 10 maintaining the integrity of the electrodes' sensitivity and the ability of the same to detect a produced current which is indicative of an enzymatic reaction. The control is effectuated in a clamped, controlled manner.

The three electrode sensor 212 is shown in FIG. 10A. <sup>15</sup> Amplifier 212d maintains a voltage which is the same as the reference voltage of -0.6 volts between the reference and working electrodes 212c and 212b respectively. This is accomplished by varying the current at the counter electrode 212a, which is in the feedback loop of amplifier 212d. <sup>20</sup> Amplifier 212e maintains the working electrode 212b at virtual ground, converting the current to an output voltage, which is buffered at 212f and provided to CMOS 7555 converter circuit 220 for conversion from a voltage to a frequency (in a manner described above), the converter circuit thereafter triggering transmitter 224 in a predeterminable fashion to transmit sensed data indicative of an enzymatic reaction to a remote location for processing.

In a sensor including multiple electrode pairs or multiple anodes, or in sensors including multiple sensor bodies, servotransmitter 210 is modified to incorporate multiple amplifier circuits and buffers, each of which is similar to amplifier circuit 216 aid buffer 218 of servotransmitter 210 shown in FIG. 10.

To facilitate wireless transmission of multiple signals generated by multiple electrode pairs, converter circuit 220 and the multiple buffers 218 may be replaced in a second preferred embodiment by a single microcontroller. In this second preferred embodiment, a multichannel transmitter includes a microcontroller that samples the amplified signals from multiple amplifier circuits in repetitive sequence, converts the signals to timing data, and outputs the data as sequential pulses separated by pulse periods representing the magnitude of the input signals. The microcontroller of the multichannel transmitter operates as a multiplexer in a manner that will be understood by skilled persons. The output of the microcontroller is transmitted by a radio frequency transmitter circuit as a pulse-period modulated signal and includes a timing signal that facilitates decoding 50 by a remote receiver. Pulse period modulation minimizes the energy used by the sensor for radio frequency transmission. A suitable 4-channel miniature transmitter is manufactured by Minimitter Corporation, of Sunriver, Oreg.

# Decreasing Fibrotic Capsule Interference

One of the primary reasons why a subcutanenously-implanted sensor eventually loses its ability to measure the concentration of an analyte of interest is that a collagenous capsule forms around the sensor. The capsule eventually loses vascularity and becomes thick and fibrous, thereby substantially blocking the sensor from accessing the analyte present in blood.

There are at least two promising approaches for minimizing fibrotic capsule interference with analyte detection, 65 thereby extending longevity of an implanted sensor. First, it is possible to prevent or retard capsule formation by slow

controlled release of certain collagen deposition inhibitors. Drugs which inhibit collagen formation can be incorporated in a polymer matrix which allows slow release of the drug locally to achieve the desired effect without causing adverse distant systemic effects in the animal or human. For example, collagen inhibitors which can be used for this purpose include corticosteroids such as dexamethasone, relaxin and gamma interferon. A preferred polymer material for carrying and controlling slow release of the drug is polydimethylsiloxane. Corticosteroids can be impregnated in a polydimethylsiloxane matrix so as to provide relatively long-term, slow release of the corticosteroids in the surrounding tissue. It is important, however, that dexamethasone be released in small doses in order to avoid iatrogenic Cushing's syndrome, which is a serious illness caused from systemic excess of corticosteroids. If corticosteroids are released from a sensor for a prolonged period, for example, more than two weeks, we recommend that a patient's serum be tested in order to confirm that adverse systemic effects are avoided.

Another approach for minimizing fibrotic capsule interference with sensor performance, i.e., increasing sensor longevity, is to promote vascularity in the capsule so that the sensor can continue to have access to blood analytes. Accordingly, vascular growth factors can be incorporated in a matrix around the sensor so that the growth factors are slowly released into the surrounding tissue. The released growth factors enhance capillary growth in the collagenous capsule which forms around the implanted sensor. Retention of capillary perfusion by the capsule enhances sensor function by continuously providing the sensor access to the patient's blood analyte. Examples of capillary growth factors include vascular endothelial growth factor (VEGF) and endothelial cell growth factor (ECGF). Polymer materials which are capable of slowly releasing polypeptide factors such as ECGF and VEGF include poly-1-lactic acid and poly glycolic lactic acid. As with the steroid approach, the growth factor dosage, i.e., quantity and rate of release, must be carefully controlled so that the growth factor's effect is local, 40 not systemic.

A method of employing steroids or growth factors to minimize or avoid fibrotic capsule interference with sensor performance, is to provide for the active agent's slow release from the perimeter of the disc sensor. For example, as shown in FIG. 16A, glucose sensor 300 has a carrier layer or matrix 302 such as a tape made of or containing polydimethylsiloxane impregnated with dexamethasone. Tape 302 is attached to outer perimeter edge 304 of disc-shaped housing or body 306 of sensor 300. The width of tape 302 is substantially the same dimension as the width of edge 304, i.e., thickness of housing 306, so that the steroid is release on or near both faces of the sensor.

Time-release steroid compositions have been utilized in the past for other purposes. For example, U.S. Pat. No. 5,265,608 to Lee et al., the entire content of which is hereby incorporated by reference, discloses a steroid eluding electrode in which dexamethasone is incorporated in a polymer matrix which permits slow controlled release of the steroid to control inflammation, irritation and swelling in connection with a device such as a pacemaker. However, no one has previously employed a time release corticosteroid matrix for inhibiting collagen formation on an implantable analyte sensor.

# Sensing Other Analytes

With minor modifications, the sensor designs described above may be used to detect analytes other than glucose. By

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changing the specific type of enzyme which covers the anode, the sensor can be used to measure many compounds. Several examples appear in Table 1 below.

TABLE 1

ANALYTE	ENZYME
ghicose	glucose oxidase
glucose	hexose oxidase
lactate	lactate oxidase
l-methionin	l-amino acid oxidase
l-phenylalar	ine l-amino acid oxidase
d-aspartate	d-amino acid oxidase
d-glutamate	d-amino acid oxidase
urate	urate oxidase
ethyl alcoho	alcohol oxidase
methyl alco	
cholesterol	cholesterol oxidase
ascorbic aci	

In addition to measuring analytes in body fluids, sensors of the present invention can be used to measure the concentration of substrates in other fluids, for example, fruit and vegetable juices, wine, yogurt, etc.

# Construction of a Glucose Sensor

A preferred sensor is constructed of epoxy resin, or of a non-conductive metal, ceramic or other suitable material, in a disc shape, 1.3-centimeters in diameter and 0.2-centimeters in height. Four 36-gauge platinum wires terminate peripherally on one face of the disc (in holes drilled in the resin) and service hydrogen peroxide-sensing anodes. A solid silver cylinder, 0.7-centimeters outside diameter (the cathode), is secured by epoxy resin in the center of the disc. A layer of silver chloride can be deposited onto the surface of the silver by one of several processes. The sensor is preferably double-sided, which may be, for example, two of the four anode sensors configured "back-to-back", making a sensor composed of four anodes and one cathode on each face of the sensor.

Anode and cathode recording wires terminate in an amplifier and polarizing voltage source. An electrometer converts the current signal to a voltage signal and applies a constant polarizing voltage of 0.60 V to the electrodes. Output from the amplifier is routed both to a digital volt meter (Micronta 22-185A, Tandy Corp., Fort Worth, Tex. 76102) and to a strip chart continuous chart recorder (Gould Instruments Model No. 11-2963-300, Valley View, Ohio 44126). The signal can also be routed directly into a computer by use of a data acquisition board. All of these electrical components can be miniaturized without altering their function and carried by a patient on his or her belt, or in a pocket.

A working 8-anode sensor (which has been demonstrated to respond to peroxide) then is selected for testing. The sensor is sanded, first with 600 and 1500 grit wet-or-dry, then followed by a polishing with 2000 grit wet-or-dry. The sensor is rinsed thoroughly in a stream of deionized water (DW) followed by blow drying in a cold nitrogen stream. The sensor is then immersed in an acetone bath and vigor-ously twirled for 20 seconds to remove any solvents or oils from the surface. The sensor is withdrawn from the acetone bath and is immediately rinsed in a DW stream. The sensor is again blown dry in a cold nitrogen stream, and continues to dry in room air for another 30 minutes.

If it is desired to include an interferent retarding layer, then a layer of PPX (or other suitable membrane material) 65 approximately 5,000-8,000A thick is deposited directly on top of the anodes before depositing any enzyme.

The sanded, cleaned and dried sensor (with or without interferent retarding layer) is enzyme activated with a Glucose Oxidase (GO)—Bovine Serum Albumin (BSA)—Glutaraldehyde (GA) matrix prepared from mixing two parts 5 GO+BSA (20 mg GO+5 mg BSA with 0.5 gram DW) plus one part GA (2.5% GA diluted with DW). Approximately 2.5 μ of this solution is applied via pipette directly to each anode. The solution is allowed to dry in room air for one hour. The sensor is then immersed in DW for 15 minutes to 10 remove excess GA, rinsed briefly in a DW stream, and blown dry in a nitrogen stream. The sensor continues to dry in room air for one hour, after which spin-coating with PU (Tecoflex, Tecothane, Cook composite, or CAR) or vapor deposition with PPX (thickness=3000-5000A) is carried 15 out.

Further miniaturization of the glucose sensor, as described above, will not adversely affect performance of the unit.

#### Testing, Connecting and Implanting Sensors

Sensors manufactured as described above, are tested the day after they are made by applying a polarizing voltage of 600 mV. The voltage output should stabilize after a one to two hour immersion in a temperature controlled PBS solution (37° C.) in the laboratory water bath. The sensor is tested in standard glucose solutions prepared by adding glucose to PBS so that the resulting test solutions (G-glucose), are concentrated in mg/dl as follows: G(0), G(100), G(200), G(300), G(400) and G(500); and in millimolar concentrations as follows: G(0), G(5.6), G(11.1), G(16.7), G(22.2) and G(27.8). The first data point is collected while the sensor is still immersed in PBS and represents the baseline output. After noting the output value, the sensor is moved to G(100) for ten minutes. The process of measuring the speed with which the sensor responds to the increase in glucose allows calculation of T90 (defined T90 below). The sensor is moved to the G(200) standard and the ten minute output value is collected from this standard. All of the following outputs are collected in ascending order in the same manner.

An implantable sensor has to satisfy three criteria: (1) it must have a T90 of less than three minutes; (2) it must be dose responsive in the glucose concentration range of 40–400 mg/dl; and (3) it must have adequate sensitivity. The T90 value is measured by using the continuous sensor readout provided by the data acquisition system. The point at which 90% of the maximum output is reached (after changing from the zero glucose level to the 100 mg/dl level) is recorded as the T90.

A sensor that is acceptable for implant must also be dose responsive, preferably substantially linear over the glucose concentration of 40–400 mg/dl. Minor to moderate nonlinealities can be mathematically corrected to allow estimation of glucose level from sensor output data.

If a sensor meets all the previous criteria, it is attached to a transmitter. For example, a suitable transmitter may be obtained from Mini-Mitter which has a custom-built interface circuit between the transmitter and the sensor. The transmitter should have a battery pack which is fully charged.

The sensor can be implanted in the body of animals or humans. The sensor can be implanted subcutaneously, in an artery or vein, intramuscularly, intraperitoneally, in the brain or cerebrospinally. The preferred location is subcutaneous. The sensor can also be used in vitro, for example, in a laboratory to measure glucose concentration or other substrates or analytes in a liquid media.

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The transmitter and sensor package are tested in vitro the day of the planned implant procedure. If the results are satisfactory (T90 less than 3 minutes, satisfactorily doseresponsive, adequate sensitivity), then the unit is sterilized, rinsed in sterile saline, and implanted subcutaneously in the 5 recipient (after the appropriate preparation and anesthesia procedures).

#### Experiments

# Experiment 1

We compared the performance of sensors with one anode 10 to the performance of sensors with four anodes. Twelve one-sensor anode sensors were constructed substantially as described above. These sensors were similar to the ones shown in FIG. 1 except they only included one anode instead of four, and they only had electrodes on one side of the 15 disc-shaped sensor. All sensors in this experiment were dip-coated with polyurethane (Cook Composites) instead of parylene. Twenty-four-anode sensors were constructed the same as the one-anode sensors except that they included four anodes on one face of the sensor substantially as shown in 20 FIG. 1.

These sensors were implanted in rats. Glucose dose response data was collected for each of the sensors at frequent time points after implantation until the given sensor failed to perform satisfactorily. For each sensor, the last 25 check point at which the sensor performed adequately, determined the functional life of that sensor.

FIG. 11 shows the results of this experiment. The average longevity for the one-anode sensors was about 4 days. In contrast, the average longevity for the four-anode sensors 30 was about 28 days. This is a highly significant improvement in the functional life of an implanted glucose sensor, which we attribute to the increased number of anodes. Experiment 2

The purpose of this experiment was to determine in vitro 35 the performance capability in sensors which had failed in vivo. In this experiment, eight of the four anode sensors used in Experiment 1 were tested before implantation (preimplant), and then tested again after eventually failing to perform and being removed (post-explant) from the rat.

The results of the experiment are shown in FIG. 12. In FIG. 12 (and FIGS. 13 and 14), the "Normal Range" includes glucose concentrations which are typically observed in the normal population. The "Dynamic Range" includes the Normal Range plus abnormally high and low 45 glucose concentrations which should be measurable with a glucose sensor. The results show that in vitro the sensors performed as well post-explant as they did pre-implant. This result demonstrates that failure of the sensors in vivo is not due to inactivation or loss of the glucose oxidase enzyme. 50 We noted that over time in vivo a cellular coat tends to envelop the sensor. Before performing the post-explant testing on the sensors, the coats were removed. This suggests that the cellular coat which develops around the sensor may coat is relatively non-uniform, it is possible to theorize that one of the reasons why longevity is increased with multiple anodes is that the probability of maintaining one or more anodes under a portion of the coat which is minimal enough so that the sensor still performs, is increased by increasing 60 the number or surface area of sensing anodes. Experiment 3

In Experiments 1 and 2, the sensors were dip-coated in polyurethane (Cook Composites). We subsequently discovered that uniformity and overall performance of the sensors 65 ing can be improved by using PPX as the outer coat or semipermeable membrane. The purpose of this experiment was

to demonstrate glucose dose response and repeatability for eight sensors, each of which was coated with PPX at a thickness of approximately 3800A. As shown in FIG. 13, we observed a dose response approaching linearity in the useful measurement range. Test repeatability was also improved with the PPX coated sensors, as shown by the smaller standard deviation margins in comparison to those shown in

# Experiment 4

This experiment was similar to Experiment 3 except instead of using PPX as the semi-permeable outer membrane, CAR was used. Eight-percent CAR was spincoated over the surface of the sensor for 2.5 minutes at 4,000 RPM. The sensor was tested in vitro at various glucose concentrations in 3 successive runs. The data is shown in FIG. 14. The dose response over the useful measurement range approached linearity with a higher slope in comparison to slopes obtained with PPX and dip-coated sensors. We also noted a relatively small standard deviation on repeat tests with the CAR coated sensors.

# Experiment 5

This experiment was performed in vitro with PPX coated eight anode (four on each side) sensors to determine how rapidly the sensors respond to changes in glucose concentration (T90). Six sensors were constructed with PPX outer coats of 3000-5000A. Results of this experiment are shown in FIG. 15. Each of the sensors responded with a T90, i.e., time to reach 90-percent of ultimate current output for a given change in glucose concentration, in less than one minute. This is a faster response time than we had observed previously with polyurethane dip-coated sensors.

What is claimed is:

- 1. An implantable device for electrochemically sensing changes in the concentration of an analyte of interest, and transmitting signals indicative of the concentration changes,
  - a transmitter including a power source
  - a sensor electrically coupled to the transmitter, the sensor including a disc-shaped body having two opposing sides, each side of the sensor body having a cathode and a plurality of anodes, whereby the combined transmitter and sensor can be implanted in a mammal for wireless transmission to an external receiver of data indicative of analyte concentration.
- 2. The device of claim 1 wherein the analyte of interest is glucose.
  - 3. The device of claim 2 further comprising
  - an enzyme layer comprising glucose oxidase covering the anodes, and
  - a membrane semi-permeable to glucose covering the enzyme layer.
- 4. The device of claim 1 further comprising an amplifier and an electrometer, the cathodes and anodes from the be involved with eventual sensor failure. Since the cellular 55 sensor being connected to the amplifier and the electrometer converting current signals into voltage signals before transmitting corresponding data signals to an external processing device.
  - 5. The device of claim 1 further comprising an analogto-digital converter connected to the sensor for converting analog signals indicative of current changes into digital signals prior to transmitting corresponding data to an external receiver.
  - 6. An analyte concentration monitoring system compris
    - a sensor including a sensor body having two opposing sides, each side of the sensor body having at least one

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- cathode, plural anodes and a semi-permeable membrane covering the anodes, the sensor being capable of generating analog data signals indicative of analyte concentration in a fluid surrounding the sensor,
- a transmitter including a power source, the transmitter being electrically coupled to the sensor and capable of converting the data signals into corresponding radio transmission signals
- a receiver for receiving the radio transmission signals at a remote location.
- 7. The system of claim 6 further comprising a processor connected to the receiver for interpreting and converting the radio transmission signals into analyte concentration information.
- $8. \ A \ method of making an implantable analyte sensor ^{15} comprising$

providing a sensor body having two opposing sides, creating at least one cathode and plural anodes on both sides of the sensor body, and

depositing a semi-permeable membrane on the cathodes and anodes.

- 9. The method of claim 8 wherein the analyte is glucose, further comprising depositing an enzyme layer including glucose oxidase on the anodes before the step of depositing the semi-permeable membrane.
- 10. The method of claim 8 wherein the depositing of a semi-permeable membrane includes depositing a layer of polyparaxylxylene or a carbonate-based polyurethane.
- 11. The method of claim 8 wherein the sensor body is substantially disc-shaped.
  - 12. The method of claim 9 further comprising electrically coupling the sensor to a radio transmitter.
  - 13. The method of claim 12 further comprising implanting the sensor and transmitter into a mammal, sensing glucose concentration changes,
    - transmitting corresponding radio signals to a remote receiver, and

processing and interpreting the radio signals into glucose concentration data.

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# (12) United States Patent KenKnight et al.

(10) Patent No.:

US 6,317,615 B1

(45) Date of Patent:

\*Nov. 13, 2001

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ARTERIAL RESTENOSIS IN THE	
PRESENCE OF AN INTRAVASCULAR ST	ENT

- (75) Inventors: Bruce H. KenKnight, Maple Grove;
  Jay A. Warren, North Oaks; Stephen
  John Hahn, Shoreview, all of MN (US)
- (73) Assignee: Cardiac Pacemakers, Inc., St. Paul, MN (US)
- (\*) Notice: This patent issued on a continued prosecution application filed under 37 CFR 1.53(d), and is subject to the twenty year patent term provisions of 35 U.S.C. 154(a)(2).

Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

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(52)	U.S. Cl	600/372; 600/381; 606/41
		606/194; 606/198
(58)	Field of Search	606/192, 194

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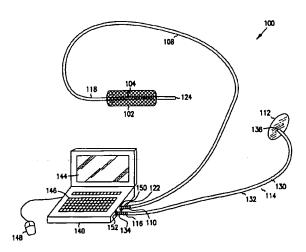
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Assistant Examiner—David M. Ruddy
(74) Attorney, Agent, or Firm—Schwegman, Lundberg,
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# (57) ABSTRACT

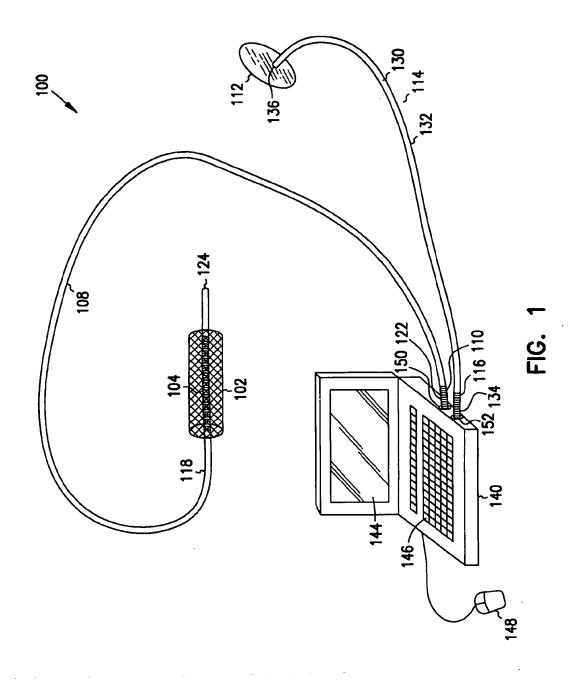
A first electrode is positioned within an artery proximate an implanted intravascular stent. A second electrode is positioned at a separate location relative the position of the first electrode. Electrical energy is then delivered between the first and the second electrodes to produce an electrical field adjacent the implanted intravascular stent. When a intravascular stent is implanted in a coronary artery, the delivery of the electrical energy is coordinated to cardiac cycles detected in sensed cardiac signals, where the delivery of the electrical energy between the first electrode and the second electrode occurs during a predetermined portion of the cardiac cycle.

# 18 Claims, 7 Drawing Sheets



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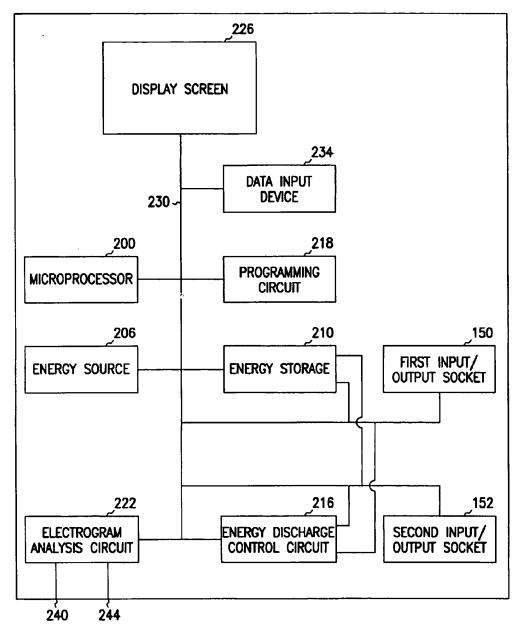
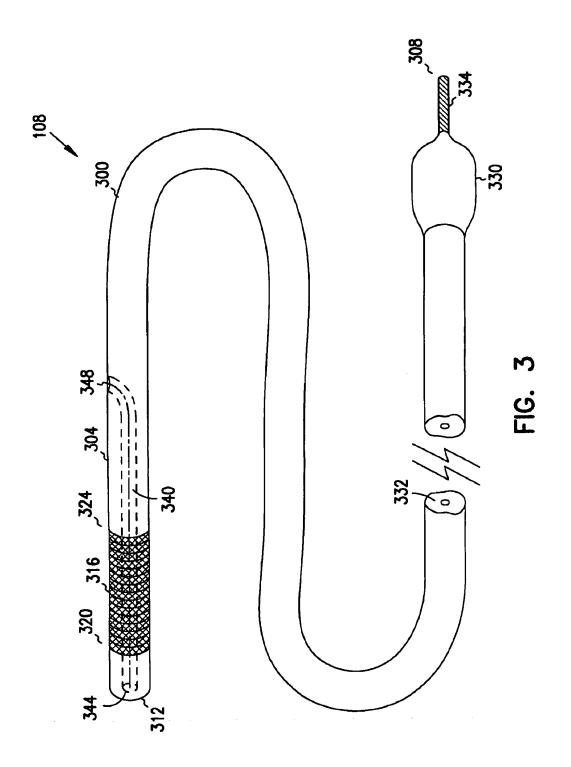
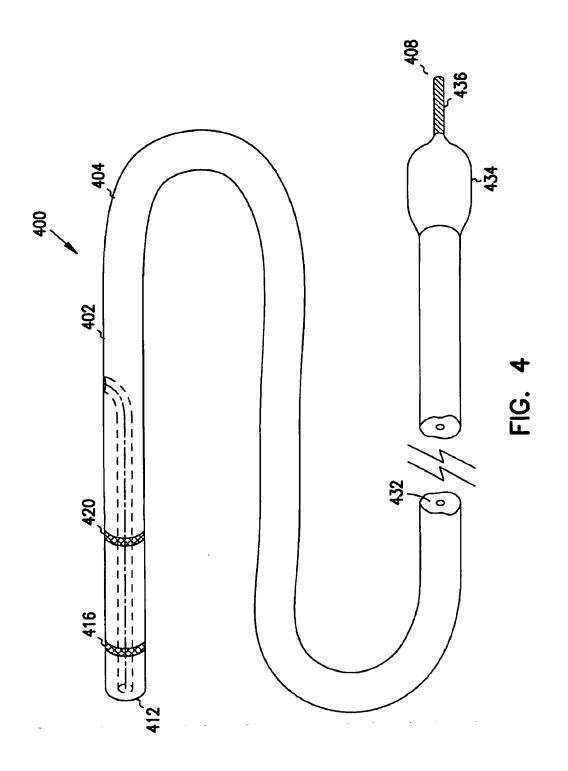


FIG. 2

Nov. 13, 2001





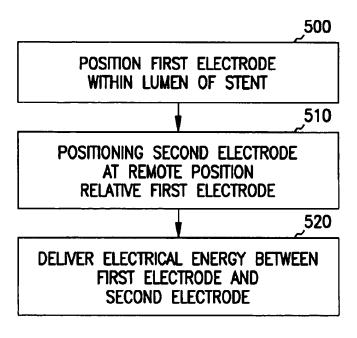


FIG. 5

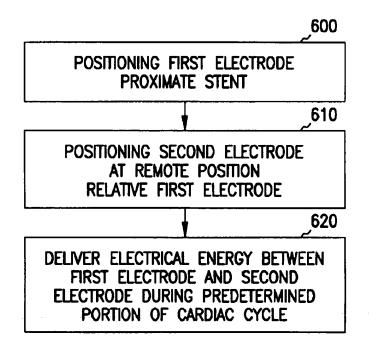


FIG. 6

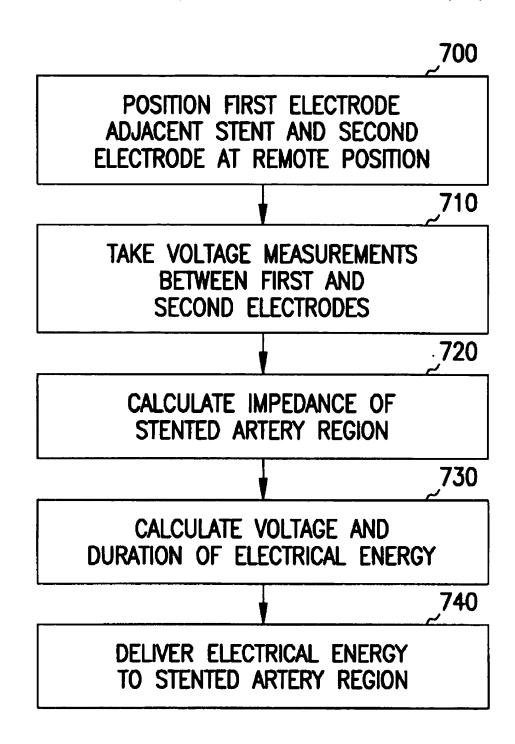
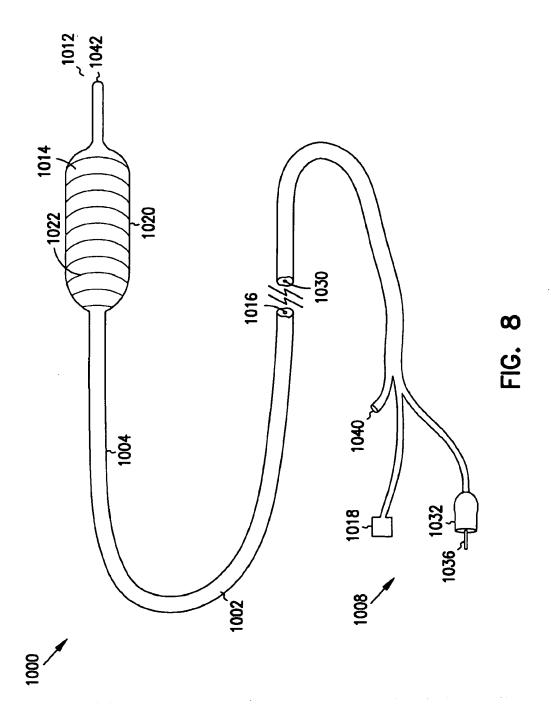


FIG. 7

Nov. 13, 2001



# METHOD AND SYSTEM FOR REDUCING ARTERIAL RESTENOSIS IN THE PRESENCE OF AN INTRAVASCULAR STENT

#### FIELD OF THE INVENTION

The present subject matter relates generally to medical devices and more particularly to a method and system for producing an electric field adjacent an intravascular stent.

#### BACKGROUND OF THE ART

The normal human heart is a strong, muscular pump a little larger than a fist. It pumps blood continuously through the circulatory system. Each day the average heart "beats" (or expands and contracts) 100,000 times and pumps about 2,000 gallons of blood. In a 70-year lifetime, an average 15 angioplasty or to undergo coronary bypass surgery. human heart beats more than 2.5 billion times.

The heart pumps blood through a circulatory system, which is a network of elastic tubes through which blood flows as it carries oxygen and nutrients to all parts of the 20 body. The circulatory system includes the heart, lungs, arteries, arterioles (small arteries), and capillaries (minute blood vessels). It also includes venules (small veins) and veins, the blood vessels through which blood flows as it returns to the heart.

The circulating blood brings oxygen and nutrients to all the organs and tissues of the body, including the heart itself. The blood also picks up waste products from the body's cells. These waste products are removed as they're filtered through the kidneys, liver and lungs.

Over time, the coronary arteries which supply the heart muscle with blood can become clogged. One cause of clogged arteries is due to a condition called atherosclerosis, or hardening of the arteries. Atherosclerosis causes a constriction of the inner lumen of the affected artery when the 35 lumen of the arteries become more narrow due to a pathological accumulation of cells, fats and cholesterol called plaque. The descriptive term given to this narrowing of the coronary arteries is "stenosis." Stenosis means constriction or narrowing. A coronary artery that is constricted or nar- 40 rowed is referred to as stenosed. When stenosis of the coronary artery is sufficient to deprive the heart muscle of the oxygen levels necessary for cell viability, the result is typically myocardial infarction, typically referred to as a heart attack.

A heart attack occurs when the blood supply to part of the heart muscle itself, the myocardium, ceases or is severely reduced. This occurs when one or more of the arteries supplying blood to the heart muscle (coronary arteries) stenoses. If cessation of the blood supply occurs for a long time, heart muscle cells suffer irreversible injury and die. Severe disability or death can result, depending on how much heart muscle is damaged.

Coronary artery bypass surgery is a heart operation used 55 to treat coronary artery disease. In coronary artery bypass surgery a blood vessel is used to go around or "bypass" clogged coronary (heart) arteries. During the "bypass" procedure, a blood vessel from the patient's chest or leg is one end of the vessel is attached to the aorta (the large artery coming out of the heart) and the other end is attached to the coronary artery below the point where it's clogged. Once the clog has been bypassed, blood can once again flow through ischemia and infarction. Almost half a million coronary bypass operations are performed each year in the USA.

Another procedure for opening clogged coronary arteries is to perform percutaneous transluminal coronary angioplasty, or balloon angioplasty. Balloon angioplasty is an established and effective therapy for some patients with coronary artery disease. Balloon angioplasty is used to dilate (widen) arteries narrowed by plaque. During the procedure, a catheter with a deflated balloon on its tip is passed into the narrowed part of the artery. The balloon in then inflated, and the narrowed area is widened. Balloon angioplasty is a less 10 traumatic and less expensive alternative to bypass surgery for some patients with coronary artery disease. However, in 25 to 30 percent of patients the dilated segment of the artery renarrows (restenosis) within six months after the procedure. The patient may then require either to repeat the balloon

One approach to preventing restenosis has been to insert a "stent" across the stenosed area of coronary artery. A stent is a metallic wire mesh tube that is used to prop open an artery that has been recently dilated using balloon angioplasty. The stent is collapsed to a small diameter, placed over an angioplasty balloon catheter and moved into the area of the blockage. When the balloon is inflated, the stent expands, locking in place to form a rigid support (structural scaffolding) which holds the artery lumen open. The stent remains in the artery permanently to help improve blood flow to the heart muscle. However, reclosure (restenosis) remains an important issue with the stent procedure.

Several approaches have been taken to reduce the occurrence of restenosis associated with the stent procedure. Stents have been impregnated with drugs and chemicals that emit radiation (gamma-rays) in an attempt to reduce the frequency of restenosis. Also, drug eluting stents have been used in an attempt to reduce the occurrence of restenosis. However, a need still exists for additional safe and effective treatments to prevent restenosis after the placement of an intravascular stent.

### SUMMARY OF THE INVENTION

The present subject matter provides a method and a system for producing electrical energy adjacent an intravascular stent. The electrical energy (or current density) supplied to the artery surrounding the stent is sufficient to structurally modify, damage and/or kill cells within the 45 artery. By effecting the cells of the artery surrounding the stent, it is believed that the occurrence of restenosis associated with the stent procedure will be reduced.

The present subject matter includes a system and method for positioning a first electrode within the vasculature proxibecomes partially or completely obstructed by plaque 50 mate an implanted stent, where the stent is electrically conductive. A second electrode is then positioned at a remote position relative to the first electrode. In one embodiment, the remote position is on the dermal surface of the patient. Cardiac signals are then sensed from the patient. The cardiac signals include cardiac cycles which indicate the electrical events of cardiac excitation. Electrical energy is then delivered between the first electrode and the second electrode during a predetermined portion of a sensed cardiac cycle.

In one embodiment, the first electrode is positioned on a used as the "bypass" conduit. For venous "bypass" grafts, 60 transvenous catheter. The transvenous catheter includes a first lead conductor which is contained within the elongate body of the transvenous catheter and serves to couple the first electrode to the first lead connector. In an additional embodiment, the second electrode is coupled to an external the bypass graft to the heart, in a manner that prevents 65 lead. The external lead includes an elongate body and a second lead conductor contained within the elongate body that couples the second electrode to a second lead connector.

The transvenous catheter allows at least a portion of the first electrode to be positioned within the lumen of the implanted stent. Alternatively, the first electrode is positioned entirely within the lumen of the implanted stent. In one embodiment, first electrode is positioned within the 5 lumen of the stent in such a manner that the first and second electrode ends of the first electrode align with the first and second stent ends of the implanted stent, respectively. In one embodiment, the length of the first electrode is between 80 and 120% of the predetermined length of the intravascular 10

In one embodiment, the first and second electrodes are coupled to a pulse generator. In one embodiment, the pulse generator includes a programming circuit coupled to a display screen, where the programming circuit is used to 15 control the display screen to request parameter values for the electrical energy pulse. The pulse generator further includes a data input device which is coupled to the programming circuit and the display screen. The programming circuit can then receive parameter values for the electrical pulses 20 through the data input device. In one embodiment, the data input device is an alphanumeric keyboard.

In one embodiment, the first and second electrodes are releasably coupled to the pulse generator through a first input/output socket and a second input/output socket, 25 respectively. In one embodiment, cardiac signals are sensed between the first and second electrodes and the cardiac signals are provided to an electrogram analysis circuit. In one embodiment, the electrogram analysis circuit detects cardiac complexes in the sensed cardiac signal. A microprocessor is additionally coupled to the programming circuit, the electrogram analysis circuit and an energy source. The microprocessor receives the parameter values from the programming, circuit and the cardiac complexes in the sensed cardiac signal from the electrogram analysis circuit. 35 The microprocessor also controls the energy source to generate the electrical energy pulse having the parameter values for the intravascular stent when a predetermined portion of a cardiac complex occurs in the cardiac signal.

In an additional embodiment, two or more surface electrocardiogram electrodes are coupled to the pulse generator. The electrogram analysis circuit is adapted to receive one or more cardiac signals (including cardiac complexes) sensed between the two or more surface electrocardiogram electrodes. The microprocessor then controls the energy source to generate the electrical energy pulse having the parameter values for the intravascular stent when a predetermined portion of a cardiac complex occurs in the cardiac signal

# BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic of a system according to one embodiment of the present subject matter;

FIG. 2 is a block diagram of a pulse generator according 55 to one embodiment of the present subject matter;

FIG. 3 is a schematic of a catheter according to one embodiment of the present subject matter;

FIG. 4 is a schematic of a catheter according to one 60 unaffected by the electrical energy. embodiment of the present subject matter;

FIG. 5 is a flow chart illustrating one embodiment of the present subject matter:

FIG. 6 is a flow chart illustrating one embodiment of the present subject matter;

FIG. 7 is a flow chart illustrating one embodiment of the present subject matter; and

FIG. 8 is a schematic of a catheter according to one embodiment of the present subject matter.

# DETAILED DESCRIPTION

In the following detailed description reference is made to the accompanying drawings which form a part hereof and in which is shown by way of illustration specific embodiments in which the invention can be practiced. These embodiments are described in sufficient detail to enable those skilled in the art to practice and use the invention, and it is to be understood that other embodiments may be utilized and that electrical, logical, and structural changes may be made without departing from the spirit and scope of the present invention. The following detailed description is, therefore, not to be taken in a limiting sense and the scope of the present invention is defined by the appended claims and their equivalents.

Restenosis of arteries after balloon angioplasty continues to be a serious problem. Restenosis has even been found to be a problem after the placement of an intravascular stent which is designed to hold an artery open after balloon angioplasty. The present subject matter addresses the problem of restenosis associated with the stent procedure by providing electrical energy to the artery tissues surrounding the stent. The electrical energy (or current density) supplied to the artery surrounding a stent is sufficient to structurally modify, damage and/or kill cells within the artery.

The general structure of an artery has three layers. The first layer consists of a single continuous layer of endothelial cells along the lumen of the artery. The endothelial cells attach to a second layer, which is a muscular middle layer. This second layer is referred to as the tunica media. The muscular middle layer consists principally of muscular tissue (smooth muscle cells) which are arranged in lamellae and disposed circularly around the vessel. The thickness of the artery wall is due in large part to this muscular middle layer. The third layer is the outer most layer and is referred to as the tunica adventitica. The third layer consists mainly of fine and closely felted bundles of connective tissue and elastic fibers.

An electrical field is localized to the arterial tissue surrounding the stent. In one embodiment, the electrical field is produced in tissues adjacent to the stented region of an artery 45 by effecting a voltage difference between a first electrode positioned adjacent the stent and a second electrode positioned at a remote position relative to the first electrode. The electrical energy is supplied from a capacitor which creates a potential difference (voltage) between the first electrode sensed between the two or more surface electrocardiogram

on and the second electrode. The potential difference results in creation of an electric field having a space-varying and time-varying intensity that can be expressed as current density. In one embodiment, the strength of the electrical energy is sufficient to irreparably damage the cells within the structure of the artery, where the cells most heavily damaged are the smooth muscle cells located in the medial layer of the artery. However, the electrical energy is not sufficiently strong to cause significant modification of adjacent myocardial tissue, thereby leaving the remaining cardiac tissue

> Referring now to FIG. 1, there is shown a system 100 according to one embodiment of the present subject matter. The system 100 an intravascular stent 102, where the intravascular stent 102 in one embodiment is made of metal. The system 100 further includes a first electrode 104, where the first electrode 104 is positioned on a catheter 108 and is coupled to a first lead connector 110 through a first lead

conductor (not shown). Additionally, the system 100 includes a second electrode 112, the second electrode 112 positioned on an external lead 114 and is coupled to a second lead connector 116 through a second lead conductor (not shown).

The catheter 108 includes an elongate body 118 having a peripheral surface 120, a proximal end 122, and a distal end 124. The first electrode 104 is positioned on the peripheral surface 120 of the catheter 108 between the proximal end 122 and the distal end 124. A first lead conductor (not 10 shown) is contained within the elongate body 118 and couples the first electrode 104 to the first lead connector 110 and the proximal end 122. The catheter 108 further includes a guidewire lumen (not shown) which extends through at least a portion of the elongate body 118. In an alternative 15 embodiment, the elongate body 118 is adapted to allow the guidewire to extend through the entire length of the elongate body 118.

The external lead 114 includes an elongate body 130 having a peripheral surface 132, a proximal end 134, and a 20 distal end 136. The second electrode 112 is positioned, or attached, at the distal end 136 of the external lead 114. A second lead conductor (not shown) is contained within the elongate body 130 and couples the second electrode 112 to the second lead connector 116 at the proximal end 134 of the 25 elongate body 130. In one embodiment, the second electrode 112 is a patch electrode which is constructed of a conductive gel overlying a metallic foil, as are known.

The system 100 further includes a pulse generator 140. The pulse generator 140 includes a programming circuit (not shown) and a display screen 144. The programming circuit is coupled to and controls the display screen 144 to request values for an electrical pulse to be entered into the pulse generator 140. A data input device is coupled to the programming circuit and display screen 144. The data input device receives the parameter values for the electrical pulse and displays the values on the display screen 144. In one embodiment, the data input device is a keyboard 146. Alternatively, the data input device is a touch sensitive layer 40 (not shown) integrated into the display screen 144 which allow for data input by touching predetermined regions of the layer. Additionally, a computer mouse 148 can be used to supply parameter values, along with other information, by responding to and inputting information presented on the 45 display screen 144.

The pulse generator 140 further includes a first input/ output socket 150 and a second input/output socket 152. The first and second input/output sockets 150 and 152 are coupled to an electrogram analysis circuit (not shown) 50 second electrode 112. The sensed cardiac complexes are housed within the pulse generator 140. The first lead connector 110 and the second lead connector 116 releasably couple to the first and second input/output sockets 150 and 152, which allows for electrical signals to be sensed between the first and second electrodes, 104 and 112. In one 55 sensed cardiac complexes. For example, electrical energy is embodiment, the electrogram analysis circuit includes signal amplifiers to amplify the electrical signals sensed between the first and second electrodes 104 and 112.

In one embodiment, the electrical signals sensed between the first and second electrodes 104 and 112 are cardiac 60 mias. signals. The electrogram analysis circuit receives the sensed cardiac signals and analyzes the cardiac signal to detect the occurrence of cardiac complexes in the sensed cardiac signal. In one embodiment, the electrogram analysis circuit detects the occurrence of the QRS-complex of the cardiac 65 140 includes a microprocessor 200, an energy source 206, complex. Alternatively, the electrogram analysis circuit detects other known portions of the cardiac complex, such as

the occurrence of T-waves or the occurrence of the complete cardiac complex.

The pulse generator 140 further includes a microprocessor coupled to the programming circuit, the electrogram analysis circuit and an electrical energy source (not shown). The microprocessor receives the parameter values for the electrical pulse from the programming circuit. Cardiac complexes in the sensed cardiac signal are also provided to the microprocessor from the electrogram analysis circuit. The microprocessor then uses this information to control an energy source to produce the electrical energy pulse having the parameter values when a predetermined portion of a cardiac complex occurs in the cardiac signal. In one embodiment, the microprocessor controls the energy source to generate the electrical energy pulse for the intravascular stent when the predetermined portion of a cardiac complex occurs in the cardiac signal.

In one embodiment, the energy source supplies electrical energy and is coupled to a transducer which converts electrical energy to radio frequency energy. Radio frequency energy is then produced and can be subsequently delivered to the intravascular stent when the predetermined portion of a cardiac complex occurs in the cardiac signal. In an alternative embodiment, the energy source supplies electrical energy to one or more electrical capacitors operatively coupled to the energy source, microprocessor and the first and second input/output sockets 150 and 152. Upon charging the electrical capacitors to a sufficient energy level, the microcontroller controls the discharge of the electrical capacitors to produce an electrical energy pulse for the intravascular stent.

In one embodiment, the present subject matter is used to deliver electrical energy to an intravascular stent implanted in an artery. Procedures and locations for implanting intravascular stent are known in the art. Once a stent has been implanted in an artery, the cardiac cells adjacent the intravascular stent are altered by providing electrical energy in the region surrounding the stent. In one embodiment, the electrical energy is provided to the region surrounding the stent by discharging electrical energy between the first electrode and the second electrode, where the first electrode is positioned within the artery proximate the implanted stent and the second electrode is positioned at a location that is set apart, or remote, from the first electrode to permit electrical energy to be delivered between the first and second electrodes during a predetermined portion of the cardiac cycle.

In one embodiment, cardiac complexes are sensed by the pulse generator 140 using the first electrode 104 and the then used to coordinate the production of the electrical energy for the stent 102. In one embodiment, the electrogram signals are used by the pulse generator 140 to deliver the electrical energy during the predetermined portion of the coordinated to occur during a sensed QRS-complex of the cardiac cycle. Alternatively, the electrical energy is delivered outside the occurrence of the T-wave of the cardiac cycle in a manner that avoids creation of cardiac arrhyth-

Referring now to FIG. 2, there is shown a block diagram of one embodiment of the pulse generator 140. In one embodiment, the pulse generator 140 is a programmable microprocessor based pulse generator. The pulse generator energy storage 210, an energy discharge control circuit 216, a programming circuit 218, an electrogram analysis circuit

222, and a display screen 226, wherein the components are electrically connected by bus 230.

The display screen 226 is coupled to the programming circuit 218 by bus 230. In one embodiment, the programming circuit 218 prompts a user through the display screen 5226 to input parameters values for the electrical energy pulses to be produced by the pulse generator 140. Parameter values programmable in the pulse generator 140 include, but are not limited to, energy level, voltage, current, number of pulses or shocks to be produced, and duration of each pulse.

Information related to the operation of the electric pulse generator 140 is displayed on the display screen 226. In one embodiment, parameter values and operational commands for the pulse generator 140 are entered through a data input device 234. In one embodiment, the data input device is a keyboard as shown in FIG. 1. The data input device 234 is interactively coupled to the programming circuit 218. Alternatively, the parameter values are provided through the interactive display screen 226, where the display screen 226 has touch sensitive screen to allow parameter values to be entered by touching the display screen 226.

In addition to having parameter values entered into the programming circuit 218, the pulse generator 140 can be used to calculate impedance values for cardiac tissue region surrounding an implanted stent. In one embodiment, the impedance measurements are used to calculate appropriate 25 voltage values for electrical energy pulses generated by the pulse generator 140. In one embodiment, the impedance is determined by producing and delivering a constant current of insufficient magnitude to effect heart contraction from the energy source 206 under the control of the energy discharge control circuit 216 to the first electrode and the second electrode. Alternatively, an alternating current is supplied by the energy source 206 under the control of the energy discharge control circuit 216 to the first electrode and the second electrode.

As the constant current is being delivered across the first and second electrodes, the voltage resulting from the delivered current in sensed by the electrodes. From the measured resultant voltage the microprocessor 200 calculates the impedance of the tissue through which the electrical current passed. The impedance value is then used to calculate a voltage for the electrical energy to be produced by the pulse generator 140. In one embodiment, the electrical energy can then be delivered to the stented region of the artery.

In one embodiment, the first lead connector 110 of the 45 catheter 108 and the second lead connector 116 of the external lead 114 are physically and electrically coupled to the pulse generator 140 through the first input/output socket 150 and the second input/output socket 152. In one embodiment, the polarity of electrical energy delivered to 50 the first input/output socket 150 and a second input/output socket 152 is controlled by energy discharge control circuit 216. In an alternative embodiment, the polarity of the first and second input/output sockets 150 and 152 are fixed as either the cathode terminal or the anode terminal.

The pulse generator 140 further includes the electrogram analysis circuit 222. In one embodiment, the electrogram analysis circuit 222 analyzes one or more sensed electrocardiogram signals. In one embodiment, an electrocardiogram signal is sensed between the first electrode 104 and the second electrode 112. In an alternative embodiment, an electrocardiogram signal is sensed between two or more surface electrodes, where the cardiac signals are provided to the pulse generator 140 through electrocardiogram input sockets 240 and 244 positioned on the pulse generator 140 65 and electrically coupled to the electrogram analysis circuit 222.

As the electrocardiogram signal is sensed, the electrogram analysis circuit 222 detects the occurrence of QRS-complexes in the signal. The energy discharge control circuit 216 operates to cause the energy source 206 and/or the energy storage 210 to produce electrical energy during the occurrence of QRS-complexes. Alternatively, the electrogram analysis circuit 222 detects the occurrence of both QRS-complexes and T-waves in the sensed cardiac signal. The energy discharge control circuit 216 is then used to ensure the electrical energy is not produced, and then subsequently delivered, during the occurrence of a T-wave.

In one embodiment, when the pulse generator 140 produces the electrical energy, the microprocessor 200 commands the energy source 206 to begin charging energy storage 210. In one embodiment, the energy storage 210 is one or more capacitors as are known in the art. When the energy source 210 has charged to a sufficient energy level, the energy discharge control circuit 216 is used to produce one or more pulses of electrical energy. In one embodiment, the one or more pulses of electrical energy can then be delivered to the first and second input/output sockets 150 and 152 according to the parameter values programmed into the pulse generator 140.

In an alternative embodiment, the pulse generator 140 further includes a transducer (not shown), where the energy source 206 supplies electrical energy and is coupled to the transducer which converts electrical energy to radio frequency energy. The radio frequency energy pulses are then produced by the pulse generator 140 for the intravascular stent.

Referring now to FIG. 3, there is shown one embodiment of the catheter 108 according to the present subject matter. The catheter 108 includes an elongate body 300 having a peripheral surface 304, proximal and distal ends 308 and 312. A first electrode 316 is attached on the peripheral surface 304 of the elongate body 300. In one embodiment, the first electrode 316 is a coil spring electrode which encircles the peripheral surface 304 of the elongate body 300, where the coil spring electrode has a first end 320 and a second end 324. The coil spring electrode provides the region adjacent the distal end 312 with flexibility while still providing a large electrical discharge surface area.

In one embodiment, the length of the first electrode 316 is selected to be between 80 and 120% of the length of an intravascular stent. In an alternative embodiment, the first electrode 316 has a length the range of 6 to 40 millimeters. In an additional embodiment, the length of the first electrode 316 is selected so that first electrode is positioned proximate the intravascular stent with the first electrode within the lumen of the intravascular stent where the first and second electrode ends are aligned with the first and second ends of the stent, respectively. Alternatively, the first electrodes 316 has a length that allows for the first electrode 316 to be positioned completely within the lumen of the stent, or to be positioned with both the first and second ends of the first electrode extending beyond the first and second ends of the stent.

In one embodiment, the first end 320 of the first electrode 316 is spaced longitudinally along the peripheral surface 304 from the distal end 312 by a distance in the range of 2 to 20 millimeters, where 10 millimeters is an acceptable distance. It is understood, however, that the first end 320 of the first electrode 316 can be located at any number of distances from the distal end 312, provided the first electrode 316 can still be positioned adjacent the intravascular stent. An electrical lead 332 extends longitudinally within the elongate

body 300 from a lead connector 330 at the proximal end 308 to electrically connect to the first electrode 316.

The catheter 108 also includes a lead pin 334 electrically coupled to the electrical lead 332, where the lead pin 334 is provided at the lead connector 330. In one embodiment, the lead connector 330 is releasably attach to the pulse generator 140 through the first or second input/output socket 150 or 152, where lead pin 334 engages a connector terminal within the input/output socket 150 or 152 to electrically couple the first electrode to the pulse generator 140.

In one embodiment, the elongate body 300 of the catheter 108 is made of an extruded polyurethane biocompatible polymer. In an alternative embodiment, the elongate body 300 of the catheter 108 is made of an extruded silicon rubber. Alternatively, the elongate body 300 of the catheter 108 can be made of any implantable flexible biocompatible polymer (e.g., nylon or polyester) The length of the elongated body 300 of the catheter 108 between the proximal and distal ends 308 and 312 is in the range of 90 to 150 centimeters. Additionally, the diameter of the elongate body 300 is in the range of 1 to 3 millimeters (3 to 9 French). In one embodiment, the diameter of the elongate body 300 is less than the lumenal diameter of the deployed stent.

The electrically conductive lead 332 is constructed of either stainless steel, platinum, or alloys such as MP35N. The first electrode 316 is made of an implantable metal known in the art, such as platinum, titanium, titanium oxide, titanium nitride, carbon, tantalum pentoxide, iridium, or iridium oxide. Other materials suitable for conductor leads and electrodes are also known in the art and are considered to be within the scope of the present subject matter.

The catheter 108 has a guidewire passageway 340 extending longitudinally in the elongate body 300 from an inlet end 344 located at the distal end 312 to a outlet end 348 located along the peripheral surface 304 of the elongate body 300. In an alternative embodiment, the guidewire passageway 340 extends longitudinally in the elongate body 300 from the inlet end 344 to an outlet end located at the proximal end 308 of the elongate body 300. In one embodiment, the guidewire passageway 340 is adapted to receive a guidewire for guiding the catheter 108 over the guidewire positioned in the artery. In one embodiment, the guidewire lumen in approximately 20 thousandths of an inch in diameter.

The catheter 108 is relatively flexible at the tip in order to track over the guidewire thus allowing the catheter 108 to advance through patient's arteries. In addition, the catheter includes appropriate axial stiffness (or column strength) at the proximal end 308 to allow for appropriate pushability of the catheter. Choices of catheter design, materials and construction techniques are known which can improve the trackability and the pushability of a catheter intended to be inserted into the arteries. Furthermore, the coil structure of the first electrode 140 allows for improved flexibility and trackability of the catheter over the guidewire.

Referring now to FIG. 4, there is shown an alternative embodiment of a catheter 400 according to the present subject matter. The catheter 400 includes an elongate body 402 having a peripheral surface 404, proximal and distal ends 408 and 412. A first electrode 416 and a third electrode 420 are attached on the peripheral surface 404 of the elongate body 402. In one embodiment, the first electrode 416 and the third electrode 420 are annular and encircle the peripheral surface 404 of the elongate body 402.

In one embodiment, the first electrode 416 and the third 65 inserted into the arteries. electrode 420 are spaced apart and spaced longitudinally along the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance of between 80 coupled to a third lead contains the peripheral surface 404 by a distance 404 by

to 120% the length of the intravascular stent. In an alternative embodiment, the first and third electrodes 416 and 420 are spread apart in the range of 6 to 40 millimeters. In addition, the first electrode 416 is spaced longitudinally 5 along the peripheral surface 404 from the distal end 412 by a distance in the range of 2 to 20 millimeters. In one embodiment, the first and third electrodes 416 and 420 are spread apart so the first electrode 416 is positioned adjacent a first end of the stent and the third electrode 420 is positioned adjacent a second end of the stent. It is understood, however, that the first electrode 416 can be located at any number of distances from the distal end 412, provided the first electrode 416 and the third electrode 420 can be positioned adjacent the intravascular stent.

An electrical lead 432 extends longitudinally within the elongate body 404 from a lead connector 434 at the proximal end 408 to electrically connect the first electrode 416 and the third electrode 420 in common. In one embodiment, a lead pin 436 electrically coupled to the electrical lead 432 is provided at the lead connector 434 to allow the first electrode 416 and the third electrode 420 to be releasably attached and electrically coupled to the pulse generator 140 as previously discussed.

In one embodiment, the elongate body 402 of the catheter 400 is made of an extruded polyurethane biocompatible polymer. In an alternative embodiment, the elongate body 402 of the catheter 400 is made of an extruded silicon rubber biocompatible polymer. Alternatively, the elongate body 402 of the catheter 400 can be made of any implantable flexible biocompatible polymer. The length of the elongated body 402 of the catheter 400 between the proximal and distal ends 408 and 412 is in the range of 90 to 150 centimeters. Finally, the diameter of the catheter is in the range of 1 to 3 millimeters (3 to 9 French), where the diameter of the elongate body is small enough to allow the catheter 400 to pass through the lumen of the intravascular stent.

The electrically conductive lead 432 is constructed of either stainless steel, platinum, or MP35N. The first and third electrodes 416 and 420 are made of an implantable metal known in the art, such as platinum, titanium, titanium oxide, titanium nitride, carbon, tantalum pentoxide, iridium, or iridium oxide. Other materials suitable for conducton leads and electrodes are also known in the art and are considered to be within the scope of the present subject matter.

The catheter 400 has a guidewire passageway 450 extending longitudinally in the elongate body 404 from an inlet end 454 located at the distal end 412 to a outlet end 458 located along the peripheral surface 404 of the elongate body 402. In an alternative embodiment, the guidewire passageway 450 extends longitudinally in the elongate body 402 from the inlet end 454 to an outlet end located at the proximal end 408 of the elongate body 402. The guidewire passageway 454 is adapted to receive a guidewire for guiding the catheter 400 over the guidewire positioned in the arteries.

Additionally the catheter 400 is relatively flexible at the tip to track over the guidewire to allow the catheter 400 to advance through patient's arteries. In addition, the catheter 400 includes appropriate axial stiffness (or column strength) at the proximal end 408 to allow for appropriate pushability of the catheter. Catheter design choices, materials and construction techniques are known which can improve the trackability and the pushability of a catheter intended to be inserted into the arteries.

In an alternative embodiment, the third electrode 420 is coupled to a third lead conductor housed within the elongate

body 402. The third lead conductor is coupled to a lead pin positioned on the lead connector 434. The input/output socket is adapted to receive the lead connector 434 so that bipolar cardiac signals can be received and bipolar electrical energy can be provided to the first and third electrodes 416 5 and 420 from the pulse generator 140. In this present embodiment, electrocardiogram signals used in coordinating the production of electrical energy pulses (e.g., electrical or radio frequency) are sensed from two or more surface electrocardiogram electrodes, where each of the two or more surface electrocardiogram electrodes is coupled to a lead connector of two or more lead connectors which are connected to the pulse generator 140. In an alternative embodiment, unipolar signals and electrical energy can be produced and supplied across the electrodes by coupling the first and third electrodes in common within the pulse generator 140.

Referring now to FIG. 5, there is shown a flow diagram of one embodiment of the present subject matter. At 500, at least a portion of a first electrode is positioned within a 20 lumen of a stent. In one embodiment, the stent has been implanted within an artery, where the catheter is inserted into the vasculature to align the first and second electrode ends of the first electrode with the first and second stent ends of the stent. At 510, a second electrode is then positioned at 25 a remote position relative to the first electrode. In one embodiment, the remote position is a portion of skin of the patient in which the first electrode has been positioned. At 520, electrical energy is then delivered between the first electrode and the second electrode.

Referring now to FIG. 6, there is shown a flow diagram of an additional embodiment of the present subject matter. At 600, after placing an intravascular stent in an artery, the catheter is inserted into the vasculature to position either the first electrode (FIG. 3) or the first and third electrodes (FIG. 35 4) in a location proximate the implanted stent. In one embodiment, the electrode is positioned concentrically within the lumen formed by the implanted stent. In one embodiment, the ends of the electrode (e.g., 320 and 324 of FIG. 3, or 416 and 420 of FIG. 4) are positioned adjacent to 40 and aligned with the ends of the implanted stent. In an alternative embodiment, the electrode is positioned in a vein or artery that is adjacent to the stented artery. The second electrode is then positioned at a remote position relative to the first electrode at 610. In one embodiment, the remote 45 position is approximately at the left lateral aspect of the thorax. In an alternative embodiment, the remote position is a dermal surface on the torso. The first and second, or the first, second and third, electrodes are then coupled to the pulse generator. Cardiac signals are then sensed, and elec-50 trical energy is delivered between the first electrode and the second electrode (or the first, second and third electrodes) during a predetermined portion of the cardiac cycle at 620.

Referring now to FIG. 7, there is shown a flow diagram of an additional embodiment of the present subject matter. 55 region of the artery, other types of energy can be delivered. At 700, the first electrode is positioned within an artery proximate an implanted stent. A second electrode, as previously described, is positioned at a remote position relative to the first electrode to allow for the electrical energy to be delivered between the first electrode and the second elec- 60

At 710, voltage measurements are made for the electrical energy by taking bipolar impedance measurements across the first and second electrodes. To make these measurements, a constant low current shock is provided 65 through the electrodes. In one embodiment, the constant low current shock is provided as direct current between the two

electrodes. In an alternative embodiment, the current applied between the electrodes is a subthreshold alternating current. In one embodiment, the low current or subthreshold alternating current is delivered in the range of 10 to 50 microamperes. When an alternating current is used, the frequency of current pulses is delivered in the range of 5 to 50 KHz, where 20 KHz is an appropriate value.

A bipolar measurement of the resultant voltage is made using the first and second electrodes. Once the resultant voltage has been measured, the impedance of the stented arterial region is calculated at 720. In one embodiment, the impedance is determined by using Ohm's law (V=IR) where R is impedance. Once the impedance has been determined, the voltage of the electrical energy required to deliver a specific amount of current is calculated at 730. In one embodiment, the voltage is calculated by assuming a critical current density. The critical current density is the current density required to effect changes in the cells residing the in the arterial structure. In one embodiment, the critical current density is a predetermined value in the range of 1 to 4 amperes/cm<sup>2</sup>. The measured impedance and the critical current density are then used to calculate the voltage of the electrical energy to be delivered across the electrodes.

In one embodiment, the current to the electrode needed to achieve the current density is computed by multiplying the current density by the surface area of the electrode. This is only an approximate calculation since the current density along the electrode will be non-uniform. In one embodiment, an empirically determined correction factor might also be included in the equation to assure the critical current density is achieved along the entire electrode or stented region. Once the current is determined, the voltage is calculated by multiplying current times the impedance. Alternatively, the voltage of the electrical energy to be delivered across the electrodes can be determined by delivering a small voltage shock across the electrodes and measuring the resulting current. Based on the resulting current and the current desired to be delivered to the stented region, a multiplication factor can be calculated by dividing the desired current by the resulting current. The voltage value of the small shock can then be multiplied by the multiplication factor to determine the voltage of the electrical energy necessary to deliver the desired current. For example, if electrical energy delivered at 1.0 volt results in 10 milliamps of current, and it is desired to deliver 5 amps of current, it would then be known that a pulse of electrical energy having a voltage value of 500 volts would be required.

Once the voltage of the electrical energy has been determined, the pulse generator is programmed to deliver the electrical pulses as previously described and the electrical energy is delivered to the stented arterial region under the control of the pulse generator as previously described at 740.

Besides delivering electrical energy pulses to the stented For example, an external defibrillator can use an RLC circuit to store and discharge energy, rather than a capacitor storage and discharge energy. In an additional embodiment, a radio frequency generator could also be used to generate energy to be delivered to the stented region of an artery. In one embodiment, the radio frequency generator is similar to those known in the catheter ablation art

In addition to the embodiment of delivering electrical energy to stented coronary arteries, the present subject matter can also be used to deliver electrical energy to stents positioned in peripheral arteries. The problem of restenosis is known to be associated with peripheral stents and the present subject matter can be used in treating the portions of the peripheral vasculature in which a stent has been placed. Portions of the peripheral vasculature in which stents have been placed include the legs and the necks of patients. Therefore, the present subject matter is not limited to 5 treatment of coronary arteries, but rather can include all regions of the vasculature in which a stent might be placed in order to support the structure of the vessel.

Referring now to FIG. 8, there is shown an alternative embodiment of a catheter 1000 according to the present subject matter. The catheter 1000 includes an elongate body 1002 having a peripheral surface 1004, a proximal end 1008 and a distal end 1012. The catheter 1000 further includes an angioplasty balloon 1014 (shown in its expanded state) positioned proximal the distal end 1012 of the catheter 1000. The elongate body 1002 includes a lumen 1016 extending from an inlet port 1018 positioned at the proximal end 1008 to an outlet end (not shown) which opens into the interior region of the angioplasty balloon 1014. The inlet and outlet ports are designed to allow fluid to pass under pressure between the inlet and the outlet port for the purpose of 20 expanding and contracting the angioplasty balloon 1014. Structures and procedures for creating and using catheters having angioplasty balloons are known.

The angioplasty balloon 1014 further includes a peripheral surface 1020. A first electrode 1022 is positioned on the 25 peripheral surface 1020 of the angioplasty balloon 1014. In one embodiment, the first electrode 1022 is a flexible conductive layer which is coated onto the peripheral surface 1020 of the balloon 1014. In an alternative embodiment, the first electrode 1022 is a matrix of flexible conductive wires 30 which are integrated into the peripheral surface 1020 of the balloon 1014, where portions of the wire surfaces are exposed at the peripheral surface 1020. The first electrode is coupled to an electrical lead 1030 which extends longitudinally within the elongate body 1002 from a lead connector 1032 at the proximal end 1008 to electrically connect to the first electrode 1022. In one embodiment, a lead pin 1036 electrically coupled to the electrical lead 1030 is provided at the lead connector 1032 to allow the first electrode 1022 to be releasably attached and electrically coupled to the pulse generator 140 as previously discussed.

In one embodiment, the catheter 1000 has a stent in its unexpanded state positioned over the balloon 1014. The stent and the balloon are advanced over the guidewire to position the stent in the lumen of an artery to be dilated. The balloon is then inflated. Inflating the balloon 1014 causes the 45 structure of the stent to expand radially to contact and engage the interior surface of the artery. As the balloon is in its inflated position, the first electrode 1022 is in physical contact with the stent. When the stent and the first electrode 1022 are in contact, the pulse generator (as previously 50 described) can be used to generate pulses of electrical energy. In one embodiment, this electrical energy is delivered between the first electrode 1022 and the second electrode, as previously described.

In one embodiment, the first electrode 1022 has a length of between 80 to 120% the length of the intravascular stent. In an alternative embodiment, the first electrode 1022 has a length in the range of 6 to 40 millimeters. In one embodiment, the elongate body 1002 of the catheter 1000 is made of an extruded polyurethane biocompatible polymer. Alternatively, the elongate body 1002 is made from either an extruded nylon or polyester. In addition, the length of the elongated body 1002 between the proximal and distal ends 1008 and 1012 is in the range of 90 to 150 centimeters. Finally, the diameter of the catheter with the angioplasty balloon uninflated is in the range of 1 to 3 millimeters (3 to 9 French).

The electrically conductive lead 1032 is constructed of either stainless steel, platinum, or MP35N. The first electrodes 1022 is made of an implantable metal known in the art, such as platinum, titanium, titanium oxide, titanium nitride, carbon, tantalum pentoxide, iridium, or iridium oxide. Other materials suitable for conductor leads and electrodes are also known in the art and are considered to be within the scope of the present subject matter.

The catheter 1000 further includes a guidewire passage-way (not shown) extending longitudinally in the elongate body 1002 from an inlet end 1040 located at the proximal end 1008 to a outlet end 1042 located at the distal end 1012 of the elongate body 1002. In an alternative embodiment, the guidewire passageway extends longitudinally through a portion of the elongate body 1002 from the inlet end located along the peripheral surface 1004 of the elongate body 1002 to the outlet end located 1042 at the distal end 1012 of the elongate body 1002. The guidewire passageway is adapted to receive a guidewire for guiding the catheter 1000 over the guidewire positioned in the arteries.

Additionally the catheter 1000 is relatively flexible at the tip to track over the guidewire to allow the catheter 1000 to advance through patient's arteries. In addition, the catheter 1000 includes appropriate axial stiffness (or column strength) at the proximal end 1008 to allow for appropriate pushability of the catheter. Catheter design choices, materials and construction techniques are known which can improve the trackability and the pushability of a catheter intended to be inserted into the arteries.

The present subject matter has now been described with reference to several embodiments thereof. It will be apparent to those skilled in the art that may changes and modifications can be made to the embodiments described without departing from the scope of the present invention. For example, radioopaque markers can be added to the body of the catheter to assist the physician in positioning the electrode adjacent to a stent implanted in a arterial artery.

We claim:

- 1. A method, comprising:
- sensing cardiac signals, where the cardiac signals include a cardiac cycle;
- positioning a first electrode within an artery proximate an implanted intravascular stent;
- positioning a second electrode at a remote position outside the lumen of the stent; and
- delivering at least one pulse of electrical energy between the first electrode and the second electrode during a predetermined portion of the cardiac cycle, at a repetition rate that is on the order of a frequency between heart depolarizations.
- 2. The method of claim 1, wherein positioning the second electrode at the remote position includes positioning the second electrode on a dermal surface of a patient.
- 3. The method of claim 1, wherein the first electrode is positioned on a catheter, and wherein positioning the first electrode within the artery includes positioning at least a portion of the catheter within the artery to position the first electrode proximate the implanted intravascular stent.
- 4. The method of claim 3, wherein at least a portion of the first electrode is positioned within a lumen of the implanted intravascular stent.
- 5. The method of claim 4, wherein the first electrode is positioned within the lumen of the implanted intravascular stent.
- 6. The method of claim 5, wherein the first electrode has first and second electrode ends and the implanted intravas-

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cular stent has first and second stent ends, where positioning the first electrode within the artery includes positioning the first electrode within a lumen of the implanted intravascular stent with the first and second electrode ends aligned with the first and second stent ends, respectively.

- 7. The method of claim 3, wherein a third electrode is positioned on the catheter proximal the first electrode, and wherein positioning the first electrode includes positioning the catheter within the artery so the first electrode is positioned proximal the implanted intravascular stent and the 10 third electrode is positioned proximal the first electrode along the catheter.
- 8. The method of claim 7, wherein the implanted intravascular stent has first and second stent ends, and positioning the first electrode and the third electrode includes 15 positioning the first electrode and the third electrode within a lumen of the implanted intravascular stent.
- 9. The method of claim 1, including physically contacting the first electrode with the implanted intravascular stent.
- 10. The method of claim 1, wherein the predetermined 20 portion of the cardiac cycle is a QRS-complex of the cardiac
  - 11. A method, comprising:
  - positioning at least a portion of a first electrode within a lumen of an intravascularly implanted stent;
  - positioning a second electrode at a remote position outside the lumen of the stent; and
  - delivering at least one pulse of electrical energy between the first electrode and the second electrode, at a repetition rate that is on the order of a frequency between heart depolarizations.
- 12. The method of claim 11, wherein the first electrode has first and second electrode ends and the stent has first and second stent ends, where positioning at least a portion of the 35 first electrode within the lumen of the stent includes positioning the first electrode within the lumen of the stent with the first and second electrode ends aligned with the first and second stent ends, respectively.
  - 13. A method comprising:
  - sensing cardiac signals, where the cardiac signals include a cardiac cycle;
  - positioning a first electrode on a catheter within an artery proximate an implanted intravascular stent, including positioning at least a portion of the catheter within the 45 least one pulse includes discharging a capacitor. artery to position the first electrode proximate the implanted intravascular stent;

- positioning a second electrode at a remote position outside a lumen of the stent;
- delivering electrical energy between the first electrode and the second electrode during a predetermined portion of the cardiac cycle; and
- wherein a third electrode is positioned on the catheter proximal the first electrode, and wherein positioning the first electrode includes positioning the catheter within the artery so the first electrode is positioned proximal the implanted intravascular stent and the third electrode is positioned proximal the first electrode along the catheter.
- 14. The method of claim 13, wherein the implanted intravascular stent has first and second stent ends, and positioning the first electrode and the third electrode includes positioning the first electrode and the third electrode within a lumen of the implanted intravascular stent.
  - 15. A method comprising:
  - sensing a cardiac signal that includes a cardiac cycle;
  - positioning a first electrode within a vessel near an implanted intravascular stent;
  - positioning a second electrode at a remote position relative to the first electrode; and
  - delivering at least one pulse of electrical energy between the first and second electrodes during a predetermined portion of the cardiac cycle, at a repetition rate that is on the order of a frequency between heart depolarizations.
- 16. The method of claim 15, in which the delivering at least one pulse includes discharging a capacitor.
  - 17. A method comprising:
- positioning at least a portion of a first electrode within a lumen of an intravascularly implanted stent;
- positioning a second electrode at a remote position relative to the first electrode; and
- delivering at least one pulse of electrical energy between the first electrode and the second electrode, at a repetition rate that is on the order of a frequency between heart depolarizations.
- 18. The method of claim 17, in which the delivering at